

ONERA

THE FRENCH AEROSPACE LAB

## MIMO, which applications in radar ?

*Marc Lesturgie*

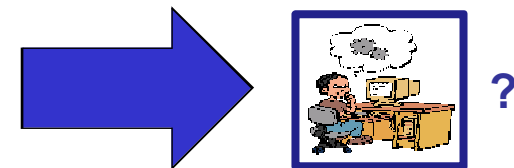
*3 September 2020*

[www.onera.fr](http://www.onera.fr)

# MIMO Radar

## Content

- 1. Introduction and history of MIMO in radar**
2. Back to radar principles
3. MIMO radar geometry and configuration
4. Transmission : signals, power and patterns
5. Receiving chain and processing
6. Examples of application
7. Conclusion



# MIMO in Communication & Navigation..

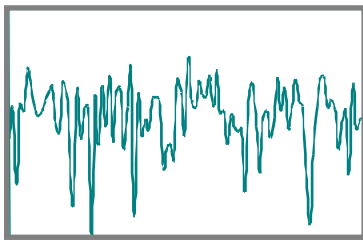
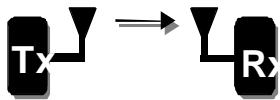
**MIMO**=**M**ultiple **I**nter **M**ultiple **O**utput

## MIMO in communication domain ....

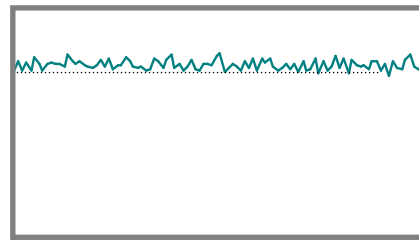
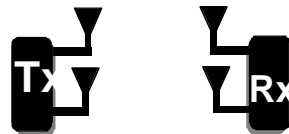
In strong multipaths environments :

- Improvement of quality factor
- Reduction of fading probability
- Reduction co-channel interference
- Improvement of data-rate

### SISO



### MIMO



Space diversity

## Navitation domain

**GPS**

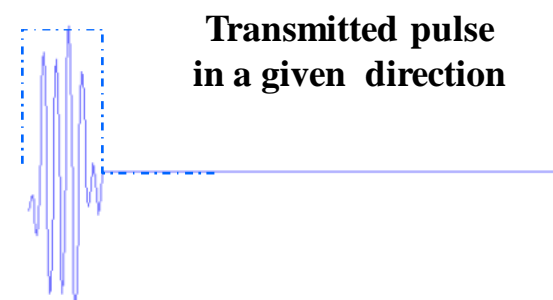
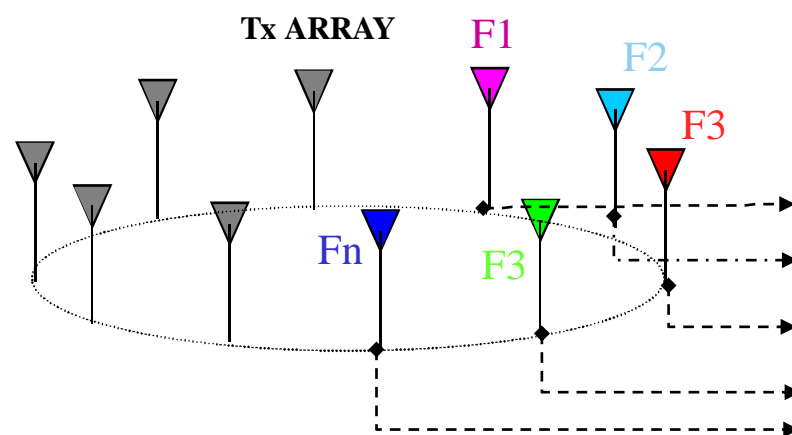
**GNSS**



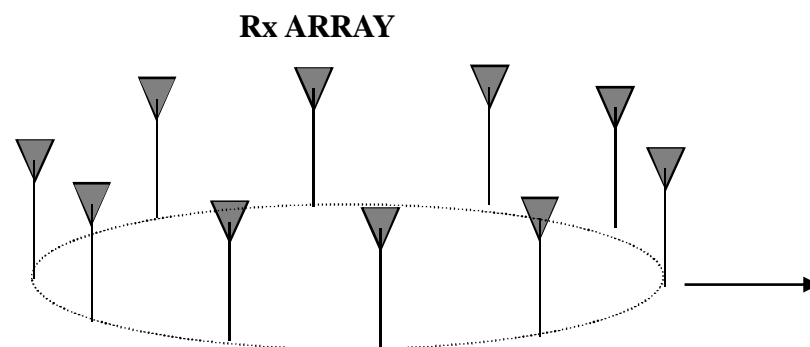
# MIMO in Radar (not really new !)

**RIAS\* / SIAR\*\* by Jacques Dorey (1986)** – « Space Frequency » orthogonal coding

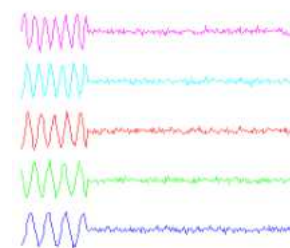
**The 1<sup>st</sup> VHF air surveillance radar to defeat stealth and cover from low to high altitudes**



**1. Un-focused but fully coherent and coded beams**



Spectral Analysis on each Rx antenna



**2. Dual Digital beamforming capability**

Received signals on one antenna

4

\*RIAS : Radar à Impulsion et Antenne Synthétiques \*\* SIAR : Synthetic Impulse and Antenna Radar



# The French RIAS/SIAR

## RIAS – Realisations...1987...1990...!



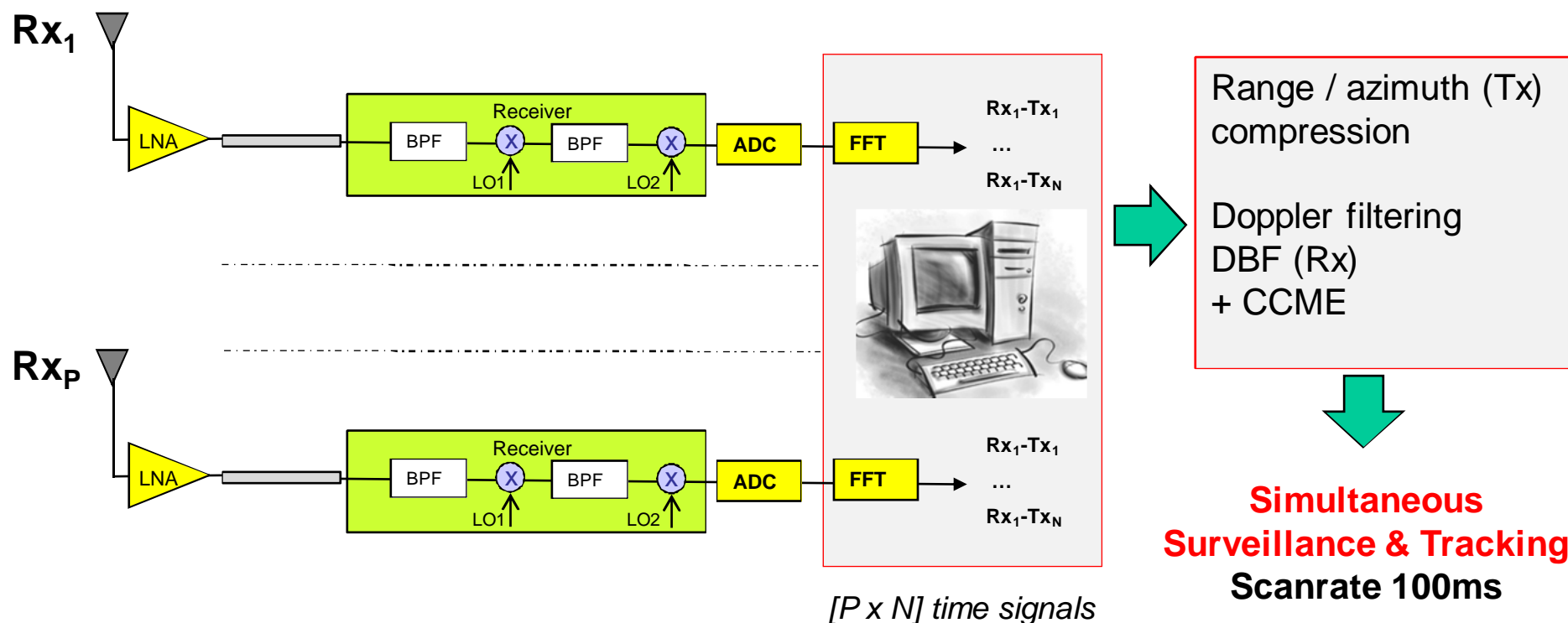
**ONERA mock up (1987)**



**Thales demonstrator (1990)**

- VHF band
- 2 imbricated arrays, Vertical polarization
- Application to air surveillance

# RIAS Processing



J. Dorey, Y. Blanchard, and F. Christophe, "The RIAS project, a new approach to air surveillance radar," in *Colloque International sur le Radar*, ser. TP, no. 1984-20. Versailles, France: ONERA, 21-24 May 1984, in French.

J. Dorey and G. Garnier, "The RIAS pulsed synthetic-antenna radar," *L'Onde Electrique*, vol. 69, pp. 36-44, Nov-Dec 1989, in French.

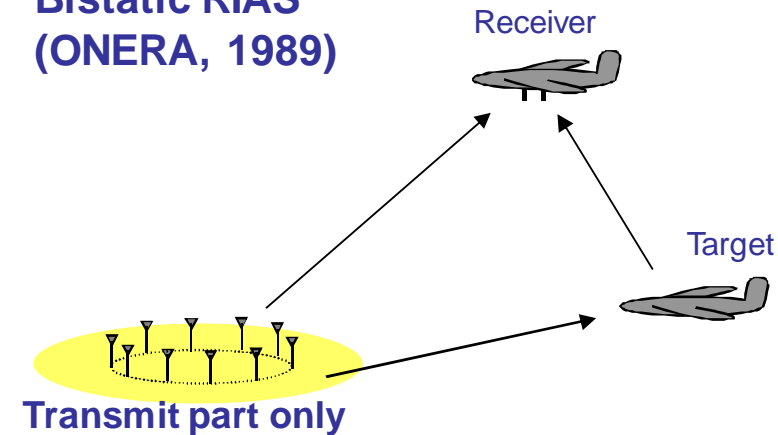
A.-S. Luce, H. Molina, D. Muller, and V. Thirard, "Experimental results on RIAS digital beamforming radar," in *Radar 92. International Conference*, Brighton, UK, Oct. 1992, pp. 74-77.

# RIAS , a VOR (or GPS) - inspired radar?

*VOR : VHF Omnidirectional Range*



**Bistatic RIAS  
(ONERA, 1989)**



In the bistatic configuration, the receiver can detect and localise the target after decoding the signals received from the RIAS emitter, provided :

- (i) Transmitted code sequence is known
- (ii) Time and frequency synchronisation are performed

## Finally, as a first observation

---

the **common** denominator of GPS, VOR, RIAS is

A **coherent coding** of the position or direction provided by a transmitting infrastructure

A localisation capability from **1 single receiving** element if and **only if** the transmitting code is known

A share of complexity :

- Hardware (and cost!) on Transmit,
- Software complexity (and banalisation?) on Receive

An intrinsic **multi-user service** (in-principle no limitation of aircrafts, GPS-users)

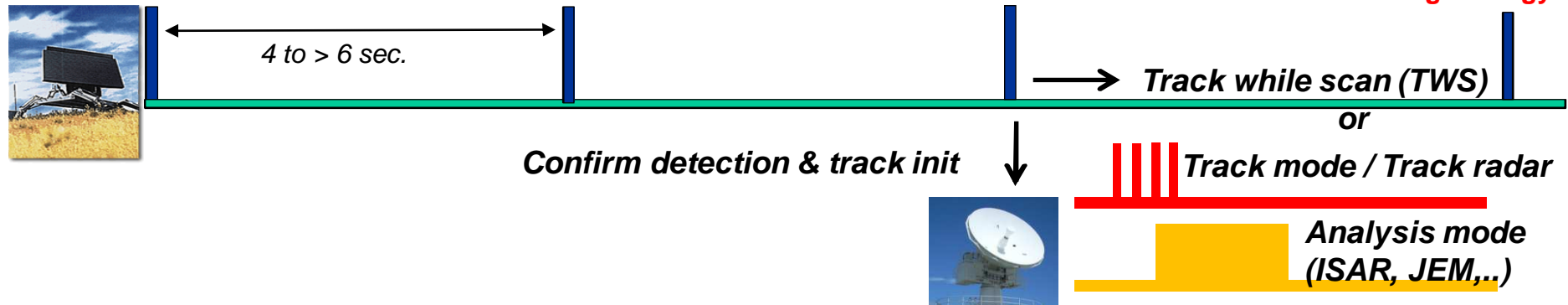


- 
1. Introduction and history of MIMO in radar
  - 2. Back to radar principles**
  3. MIMO radar geometry and configuration
  4. Transmission : signals, power and patterns
  5. Receiving chain and processing
  6. Examples of application
  7. Conclusion

# MIMO in radar for air surveillance

## Conventional Radar

(1 **single** target direction)



## MIMO 360° (e.g. RIAS)



Detection + **multiple** targets Tracking + **Long integration / analysis mode** **Low energy**

There is **no free lunch** : increase of information by transmit diversity has to be paid by complexity and an *apparent* penalty in terms of energy

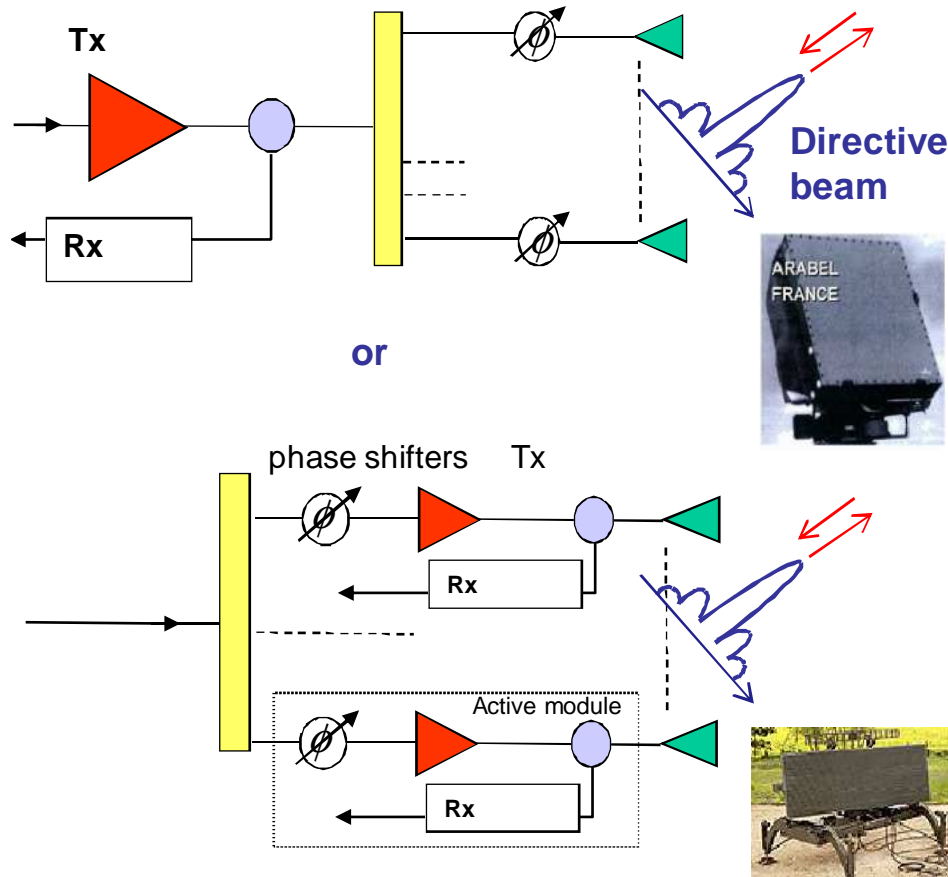
## MIMO sub-array - The compromise ?



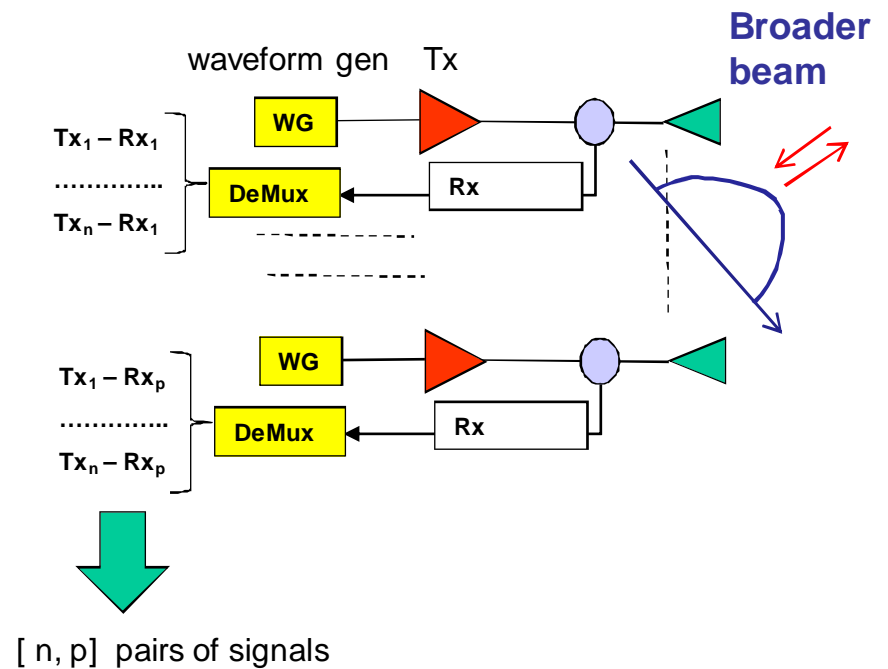
# Digital Beam Forming on transmit vs. Electronic Scanning

**complexity !!**

**ESA - Electronic Scanning Antenna**  
(Phase only Diversity on transmit)



**MIMO**  
(Waveform Diversity on transmit)



 Circulator or duplexer
  phase shifters
  transmitter

**Complexity !**



## MIMO and energy..

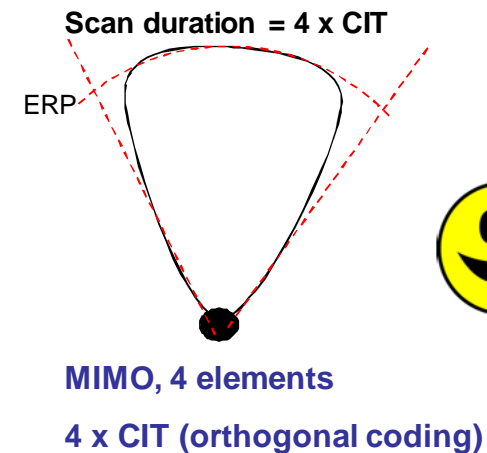
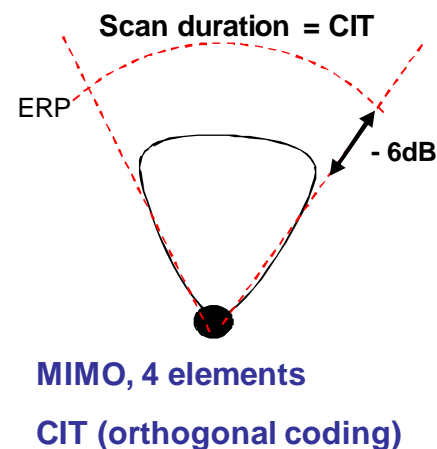
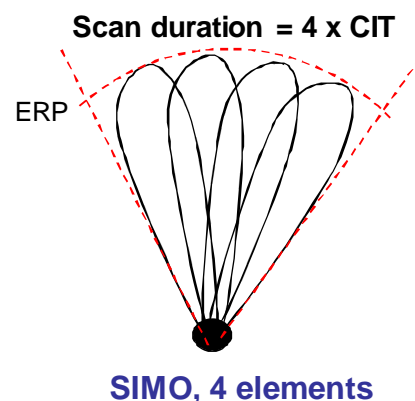
Orthogonal coding is favored as to illuminate **all the directions** of the airspace within the physical pattern of the individual antenna

Compared to electronic beam steering antenna power budget penalty for MIMO, varies as **N** instead of **N<sup>2</sup>** but all the directions are illuminated at the same time.

→ MIMO requires to **compensate for the defocusing losses (N)**.



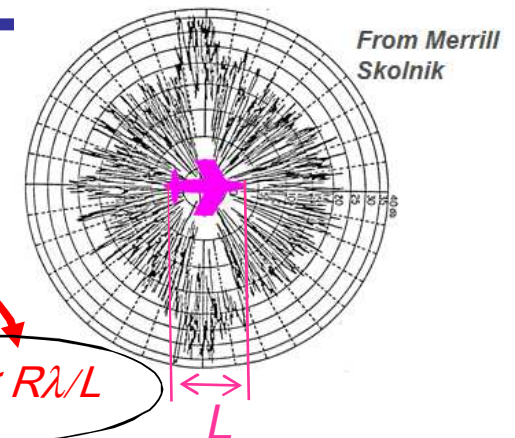
This can be done by improving the integration time (coherent / integration) which results in an efficient Doppler processing, if the target signature remains coherent



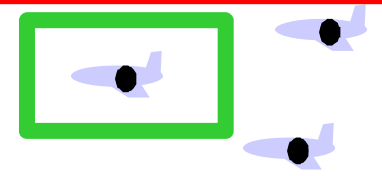
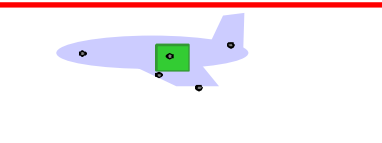

**Requires target coherence ! (→ the case at low frequency, e.g. RIAS), <sup>12</sup>**

# Radar, diversity, coherence and configuration ..

- Radar functions (hereafter)
- MIMO : multiple antenna and diversity on transmit
- Coherence : modern radar is coherent (phase processing)
- For air-surveillance mission, diversity (span) on transmit is limited by the variation of the target RCS pattern



## The importance of the target model in Radar processing

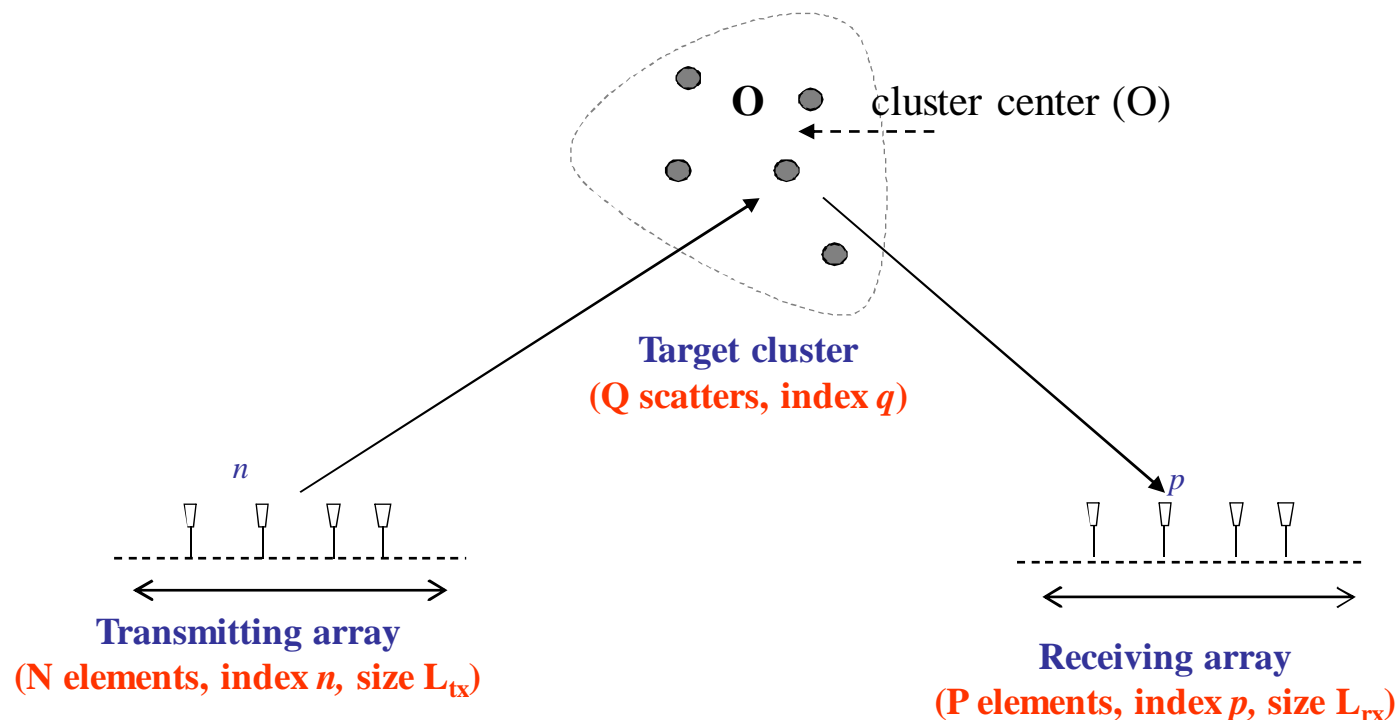
Radar Mode / Radar technique	Target model	Resolution vs. size	Processing Time scale
1. Surveillance	Point scatter Coherent		10ms to 100ms
2. Tracking	Point scatter Non Coherent		100ms to few seconds
3. ISAR	Multiple Point scatter Coherent		100ms to few seconds
4. SAR	Multiple Point scatter Coherent		100ms to few seconds



- 
1. History of MIMO in radar and close domains
  2. Back to radar principles
  - 3. MIMO radar geometry and configuration**
  4. Transmission : signals, power and patterns
  5. Receiving chain and processing
  6. Examples of application
  7. Conclusion

## Configuration, signal and processing

a MIMO system is a multi-port system with independent access on transmit and receiving sides. By essence, such system allows for **measuring the transfer function between each transmitting element ( $n$  of  $N$ ) and each receiving element ( $p$  of  $P$ )**.



The **propagation environment** is important and we need to define **the cluster of scatterers** or targets which are precisely composing the transfer function. 15

# The MIMO channel matrix **S**

The channel matrix (**S**) is the transfert function between each Tx element and each Rx element ;

$$\mathbf{S} = \mathbf{g}_t \mathbf{\alpha} \mathbf{g}_r \quad \dim(\mathbf{S}) = [\mathbf{N}, \mathbf{P}]$$

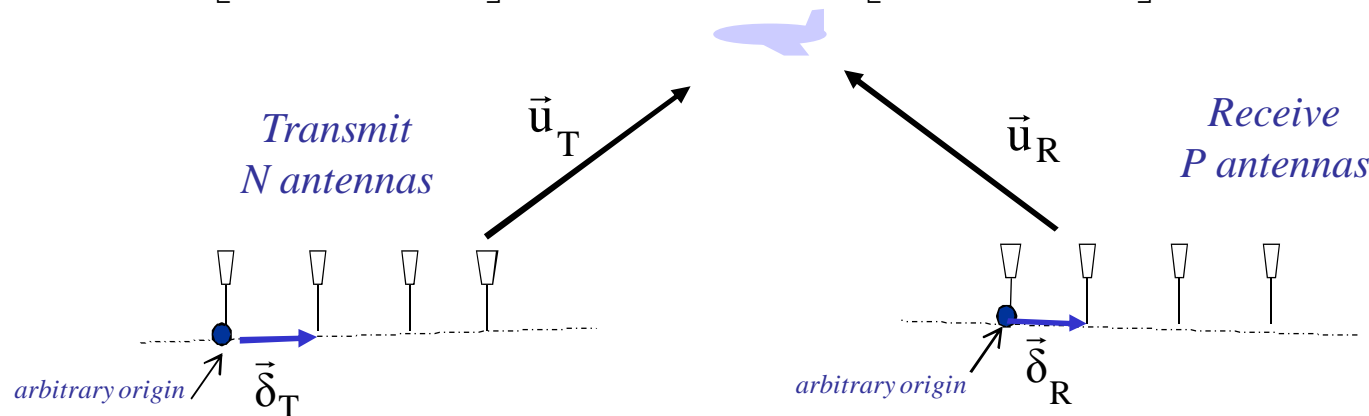
$\mathbf{g}_t$  contains the **transmitting steering vector**  $\mathbf{D}_T$  for each scatter;  $\dim = [\mathbf{N}, \mathbf{Q}]$   
where Q is the number of scatters, N the number of transmitting elements

$\mathbf{g}_r$  contains the **receiving steering vector**  $\mathbf{D}_R$  for each scatter;  $\dim = [\mathbf{Q}, \mathbf{P}]$   
where P is the number of receiving elements

$\mathbf{\alpha}$  is a diagonal matrix which contains the complex amplitude of the Q scatters

$$\mathbf{D}_T = \begin{bmatrix} d_1(\vec{u}_T) \\ \vdots \\ d_N(\vec{u}_T) \end{bmatrix} = \begin{bmatrix} 0 \\ e^{j\left(\frac{2\pi}{\lambda} \vec{\delta}_T \cdot \vec{u}_T\right)} \\ \vdots \\ e^{j\left(\frac{2\pi}{\lambda} (N-1) \vec{\delta}_T \cdot \vec{u}_T\right)} \end{bmatrix} \quad \mathbf{D}_R = \begin{bmatrix} d_1(\vec{u}_R) \\ \vdots \\ d_P(\vec{u}_R) \end{bmatrix} = \begin{bmatrix} 0 \\ e^{j\left(\frac{2\pi}{\lambda} \vec{\delta}_R \cdot \vec{u}_R\right)} \\ \vdots \\ e^{j\left(\frac{2\pi}{\lambda} (P-1) \vec{\delta}_R \cdot \vec{u}_R\right)} \end{bmatrix}$$

**2 DBF\* capabilities !  
MIMO steering vector**



- 
1. Introduction and history of MIMO in radar
  2. Back to radar principles
  3. MIMO radar geometry and configuration
  - 4. Transmission : signals, power and patterns**
  5. Receiving chain and processing
  6. Examples of application
  7. Conclusion

# Signal Model : the transmitted code

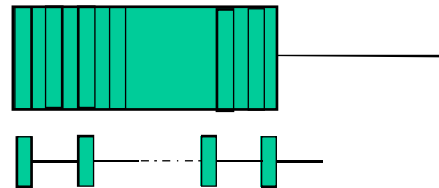
Let's denote  $C$  the  $[K,N]$  vector and  $D_T(\vec{u}_T)$  the steering vector in the direction  $\vec{u}_T$

**Coding within the pulse**

(e.g. frequency code)

**Coding from pulse to pulse**

(e.g. phase code)



**Coding matrix :**

**Dim.  $[K,N]$**

$$C = \begin{bmatrix} c_1(t_1) & \dots & c_N(t_1) \\ \vdots & & \vdots \\ c_1(t_K) & \dots & c_N(t_K) \end{bmatrix}$$

....antenna....

....time....

**Steering vector (Tx) :**

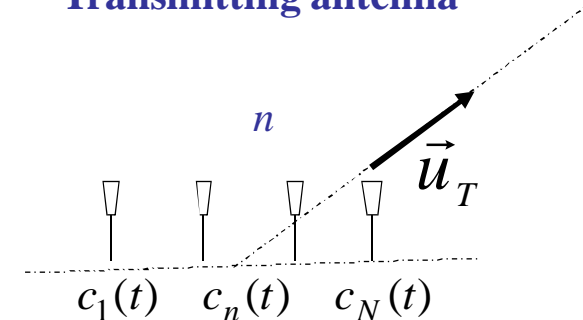
**Dim.  $[N,1]$**

$$D_T = \begin{bmatrix} d_1(\vec{u}_T) \\ \vdots \\ d_N(\vec{u}_T) \end{bmatrix}$$

**Received signals :**

**(in the direction  $\vec{u}_T$ )**

**Transmitting antenna**



$$X = \begin{bmatrix} x(t_1) \\ \vdots \\ x(t_K) \end{bmatrix} = C D_T(\vec{u}_T)$$

**Dim.  $[K,1]$**

$K$  is the number of code elements



# MIMO transmit codes



**FT-CDMA** : fast-time code division multiple access

**ST-CDMA** : *slow time CDMA*

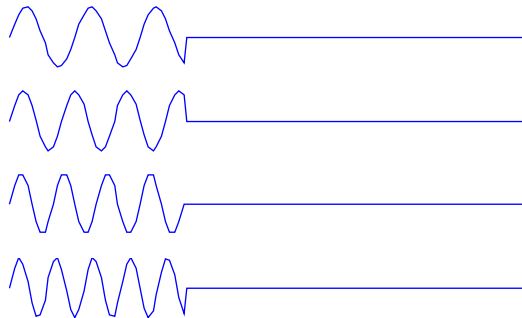
**FDMA** : frequency division multiple access

**TDMA** : time division multiple access, *also randomized TDMA (R-TDMA)*,

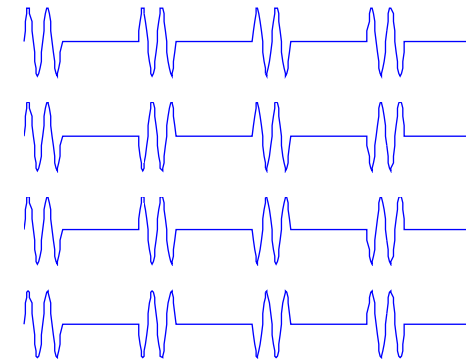
**DDMA** : Doppler division multiple access,

[Petre Stoica & al.]

**Fast Time (within the pulse)**

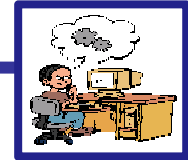


**Slow Time (from pulse to pulse)**



All these coding scheme should be written as

$$C = \begin{matrix} \text{....antenna....} \\ \begin{bmatrix} c_1(t_1) & & c_N(t_1) \\ & c_k(t_n) & \\ c_1(t_K) & & c_N(t_K) \end{bmatrix} \end{matrix} \begin{matrix} \text{....time....} \\ \text{or } C(t) = [c_1(t) \dots c_n(t) \dots c_N(t)] \end{matrix}$$



## Average transmitted power (1)

Since  $X = [x(t_1) \quad \dots \quad x(t_K)]^T = C D_T(\vec{u}_T)$  of dimension  $[K, 1]$

the average transmitted power – over a Code periode – is :

$$P(\vec{u}_T) = p(\vec{u}_T) X^H X = p(\vec{u}_T) D_T(\vec{u}_T)^H C^H C \cdot D_T(\vec{u}_T) = p(\vec{u}_T) D_T(\vec{u}_T)^H R_{CC} \cdot D_T(\vec{u}_T)$$

$p(\vec{u}_T)$  : ERP of individual element

With  $R_{CC} = C^H C$  correlation matrix of the code

### EXAMPLES

Orthogonal codes (RIAS case)

$$C^H C \approx \text{Id}$$



$$P(\vec{u}_T) = p(\vec{u}_T) N$$



Fully correlated codes (Scanning antenna)

$$C = [D_T(\vec{u}_0) \quad \dots \quad D_T(\vec{u}_0) \quad \dots \quad D_T(\vec{u}_0)]^T$$



$$P(\vec{u} = \vec{u}_0) = p(\vec{u}_0) N^2$$



Different “equivalent” array factors

**POWER PENALTY for “pure orthogonal” MIMO**

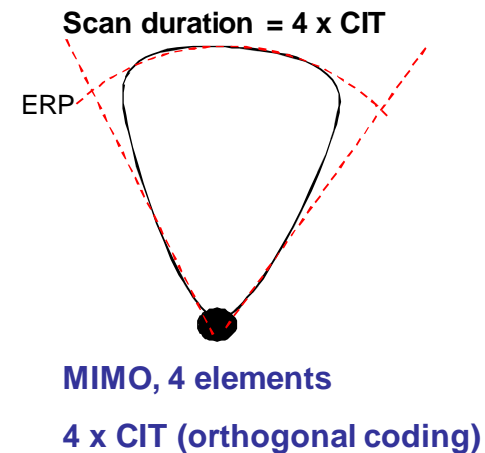
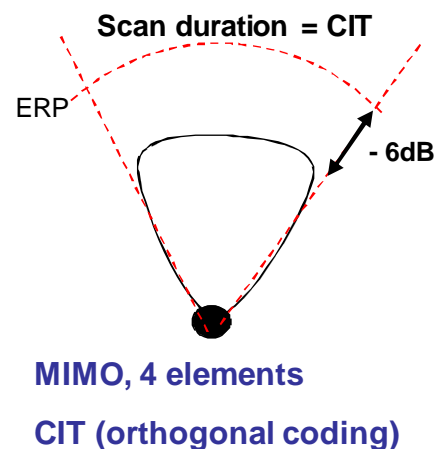
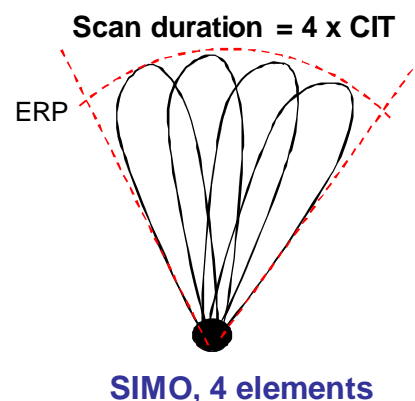
## Average transmitted power (2)

Compared to electronic beam steering antenna power budget penalty for MIMO, varies as  $N$  instead of  $N^2$

but all the directions are illuminated at the same time.

For a given set of power budget parameters, (total emitted power, gain, noise figure, ..) MIMO requires to compensate the defocusing losses.

This can be done by improving the integration time (coherent / integration)



***If and only if the target signal remain coherent across the increased CIT !<sup>21</sup>***

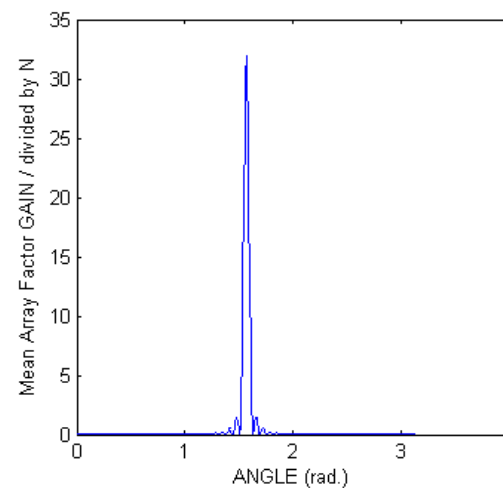
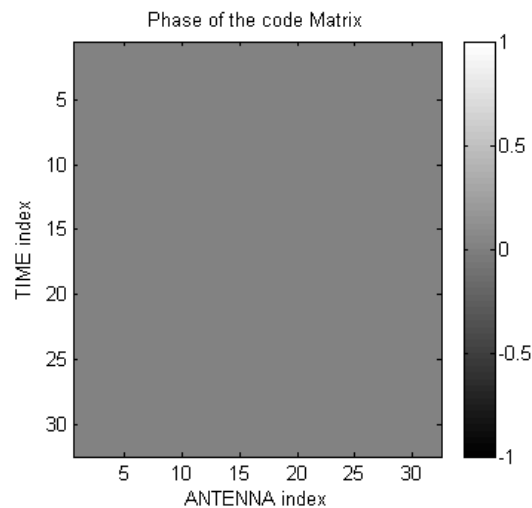
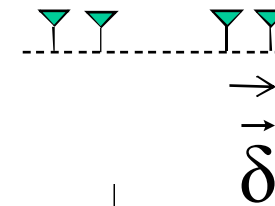
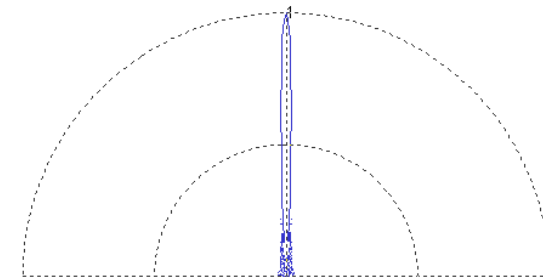
## Example of transmit pattern (1)

### Examples of code - fixed scanning antenna (n=32)

$$C = [D_T(\vec{u}_0) \quad \dots \quad D_T(\vec{u}_0)]^t$$

$$\text{with } \begin{cases} d_n(\vec{u}_0) = \exp(-j \frac{2\pi}{\lambda} \vec{r}_n \cdot \vec{u}_0) \\ d_n(\vec{u}_0) = \exp(-j \frac{2\pi}{\lambda} n \vec{\delta} \cdot \vec{u}_0) \quad \text{for ULA case} \end{cases}$$

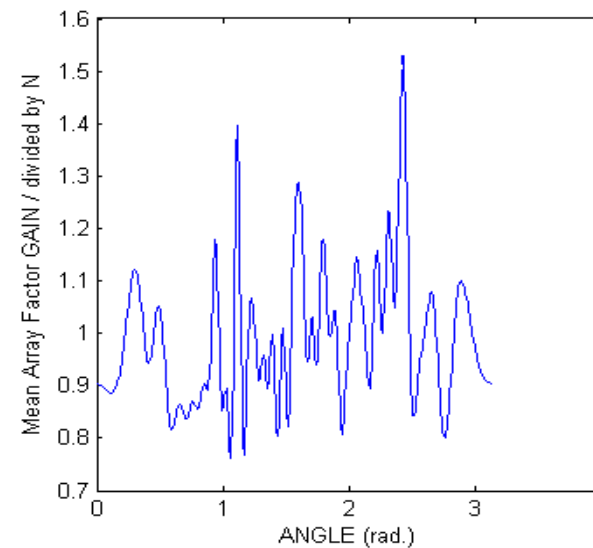
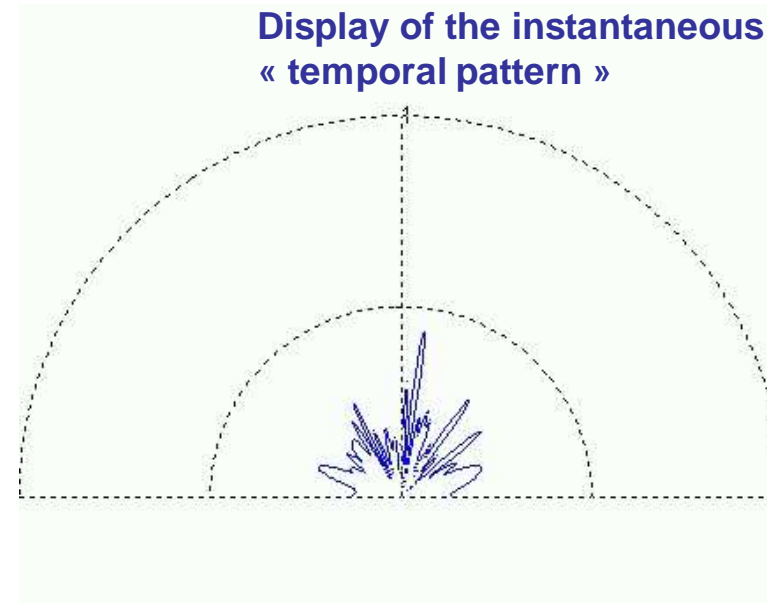
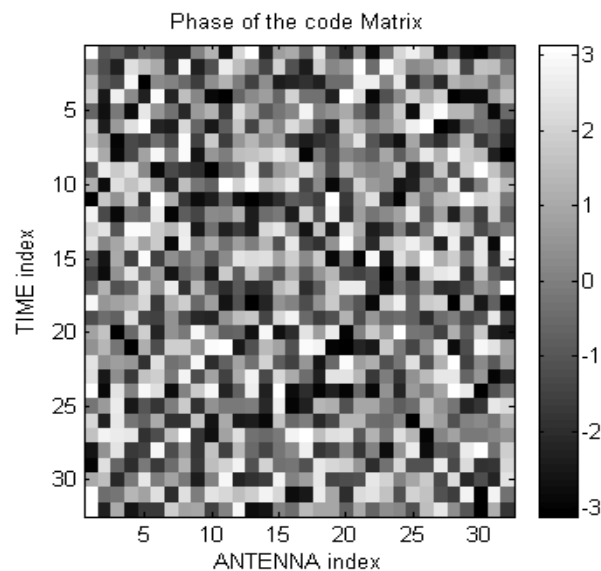
Display of the instantaneous  
« temporal pattern »



## Example of transmit pattern (2)

Examples of code - random Phase Code  
(n=32)

$$C = \begin{bmatrix} \dots & \exp(j2\pi \text{rand}) & \dots \\ \dots & & \dots \end{bmatrix}$$

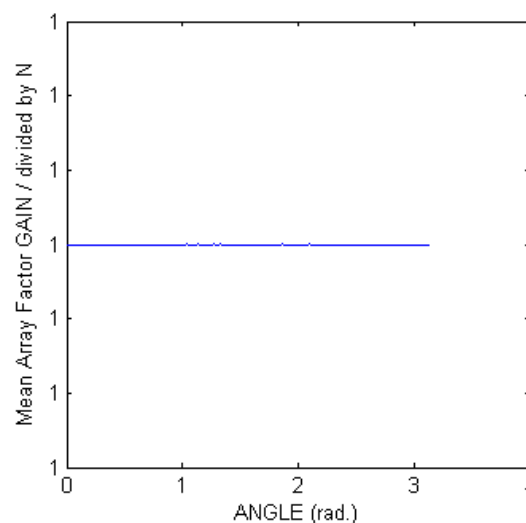
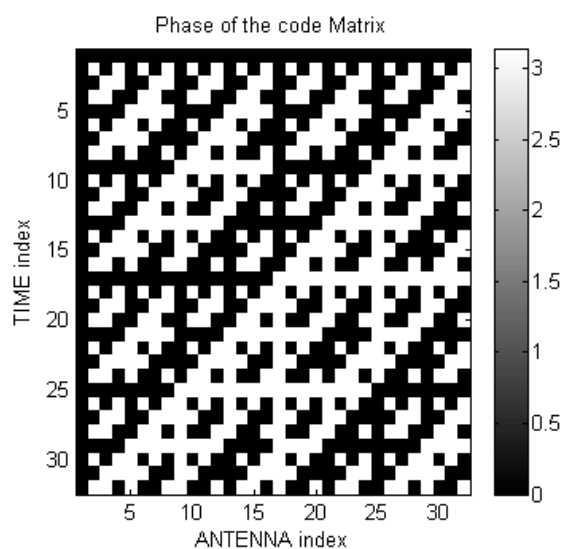




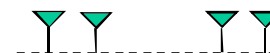
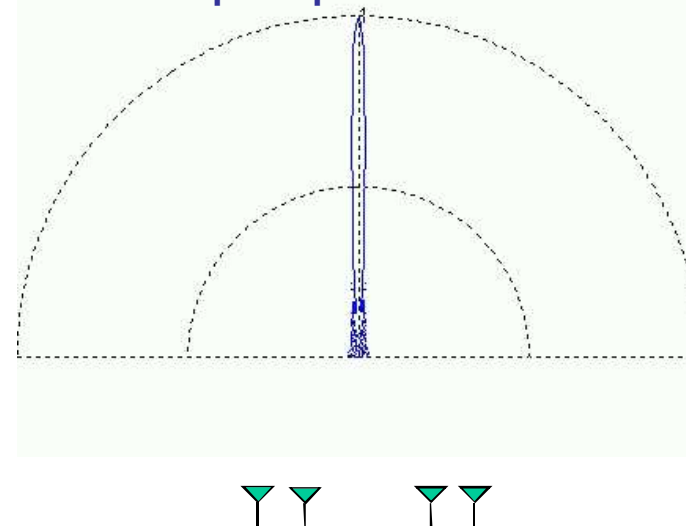
## Example of transmit pattern (3)

### Examples of code - Hadamard Code (n=32)

$$C = \begin{bmatrix} 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & \dots \\ 1 & -1 & 1 & -1 & 1 & -1 & 1 & -1 & \dots \\ 1 & 1 & -1 & -1 & 1 & 1 & -1 & -1 & \dots \\ 1 & -1 & -1 & 1 & 1 & -1 & -1 & 1 & \dots \\ 1 & 1 & 1 & 1 & -1 & -1 & -1 & -1 & \dots \\ 1 & -1 & 1 & -1 & -1 & 1 & -1 & 1 & \dots \\ 1 & 1 & -1 & -1 & -1 & -1 & 1 & 1 & \dots \\ 1 & -1 & -1 & 1 & -1 & 1 & 1 & -1 & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{bmatrix}$$



Display of the instantaneous  
« temporal pattern »

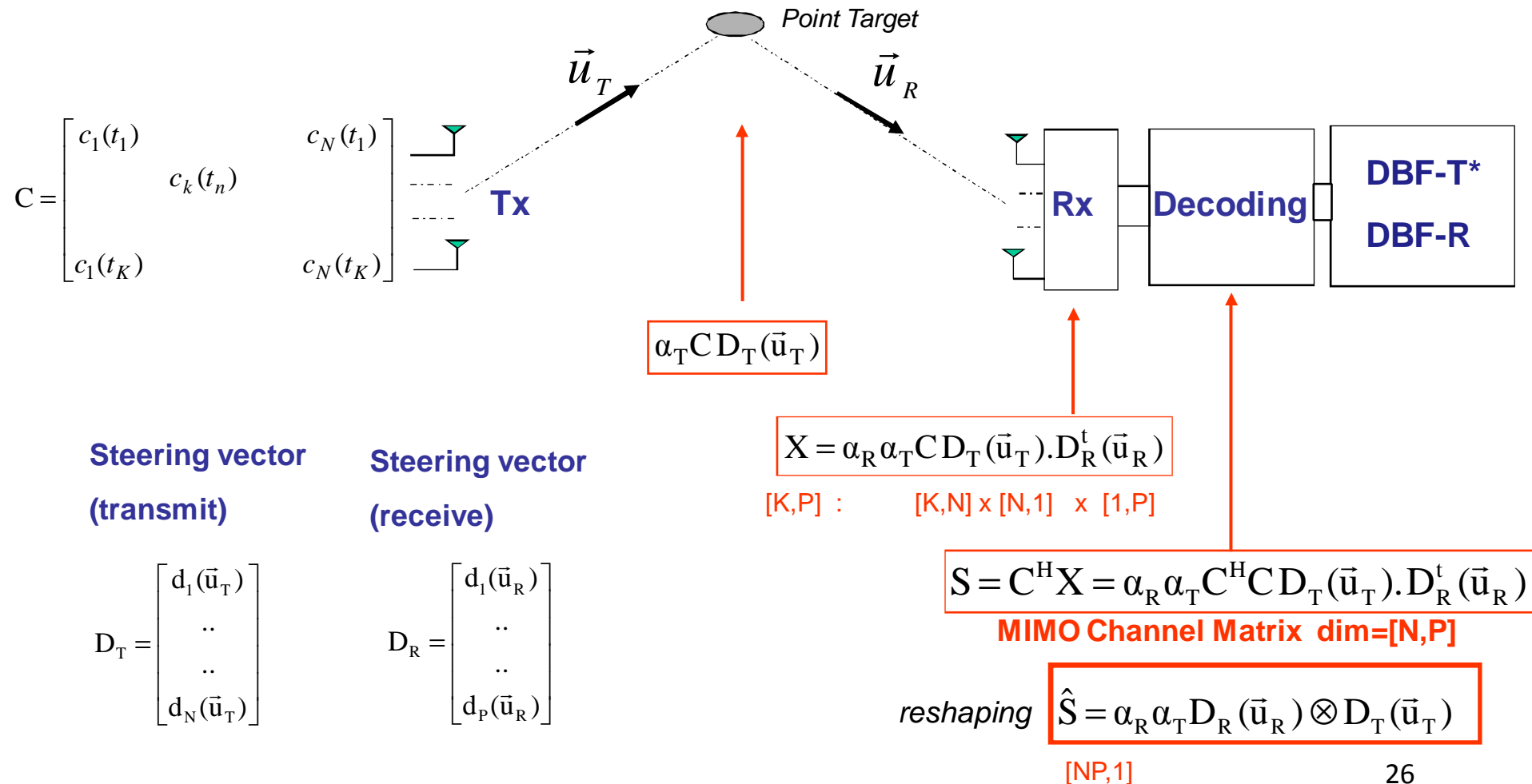


- 
1. Introduction and history of MIMO in radar
  2. Back to radar principles
  3. MIMO radar geometry and configuration
  4. Transmission : signals, power and patterns
  - 5. Receiving chain and processing**
  6. Examples of application
  7. Conclusion



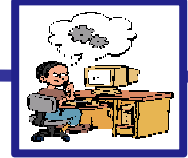
# MIMO Signal chain : DBF\*-T and DBF-R

Let's denote  $C$  the  $[N,K]$  code matrix and  $D_T(\vec{u}_T)$  the steering vector in the direction  $\vec{u}_T$



**\*DBF : Digital Beam Forming**

$S$  : Matrix of dimension  $[P,N]$

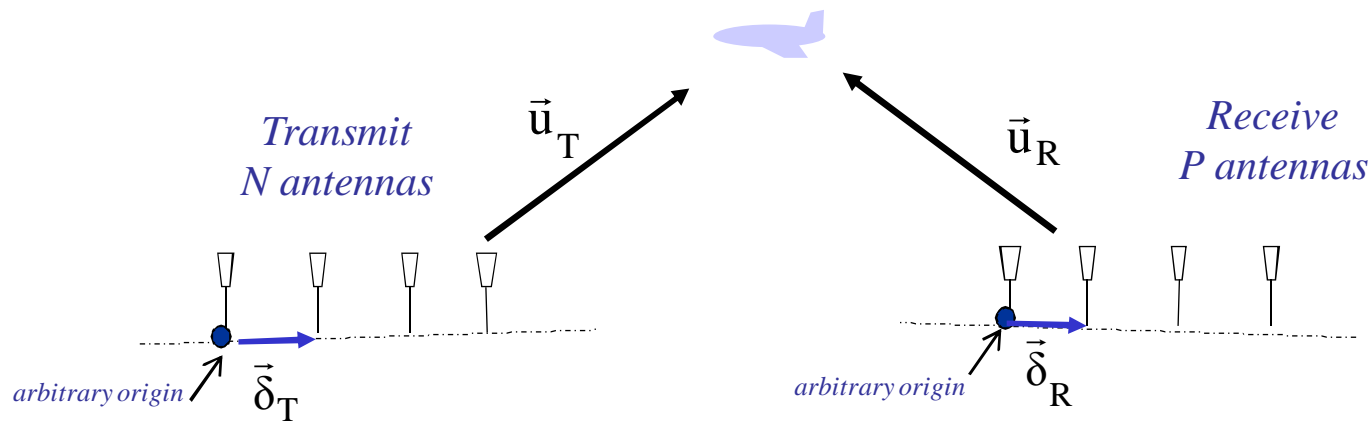


# The MIMO steering vector (1)

ULAs case (as an example)

$$D_T = \begin{bmatrix} d_1(\vec{u}_T) \\ \vdots \\ d_N(\vec{u}_T) \end{bmatrix} = \begin{bmatrix} 0 \\ e^{j\left(\frac{2\pi}{\lambda} \vec{\delta}_T \cdot \vec{u}_T\right)} \\ \vdots \\ e^{j\left(\frac{2\pi}{\lambda} (N-1) \vec{\delta}_T \cdot \vec{u}_T\right)} \end{bmatrix}$$

$$D_R = \begin{bmatrix} d_1(\vec{u}_R) \\ \vdots \\ d_P(\vec{u}_R) \end{bmatrix} = \begin{bmatrix} 0 \\ e^{j\left(\frac{2\pi}{\lambda} \vec{\delta}_R \cdot \vec{u}_R\right)} \\ \vdots \\ e^{j\left(\frac{2\pi}{\lambda} (P-1) \vec{\delta}_R \cdot \vec{u}_R\right)} \end{bmatrix}$$



$$V_{\text{mimo}}(\vec{u}_T, \vec{u}_R) = D_R(\vec{u}_R) \otimes D_T(\vec{u}_T) = \left[ \exp j \frac{2\pi}{\lambda} (n \vec{\delta}_T \cdot \vec{u}_T + p \vec{\delta}_R \cdot \vec{u}_R) \right]_{n=1, N; p=1, P}$$

$$= \left[ \exp j \frac{2\pi}{\lambda} \vec{u} (n \vec{\delta}_T + p \vec{\delta}_R) \right]_{n=1, N; p=1, P} \quad (\text{for monostatic case}) \quad \vec{u}_T = \vec{u}_R$$

## The MIMO steering vector (2)

$$V_{\text{mimo}}(\vec{u}_T, \vec{u}_R) = D_R(\vec{u}_R) \otimes D_T(\vec{u}_T) = \left[ \exp j \frac{2\pi}{\lambda} (n \vec{\delta}_T \cdot \vec{u}_T + p \vec{\delta}_R \cdot \vec{u}_R) \right]_{n=1, N; p=1, P}$$

$$= \left[ \exp j \frac{2\pi}{\lambda} \vec{u} (n \vec{\delta}_T + p \vec{\delta}_R) \right]_{n=1, N; p=1, P} \quad (\text{for monostatic case only})$$



Convolution of the  
array footprints

$$(f * g)[n] \stackrel{\text{def}}{=} \sum_{m=-\infty}^{\infty} f[m] g[n - m]$$

$$= \sum_{m=-\infty}^{\infty} f[n - m] g[m].$$

**Space domain**

$$(f * g)[n] \stackrel{\text{def}}{=} \sum_{m=-\infty}^{\infty} f[m] g[n - m]$$

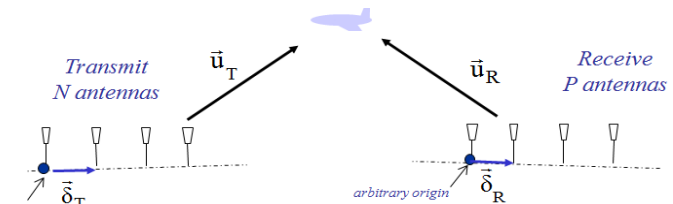
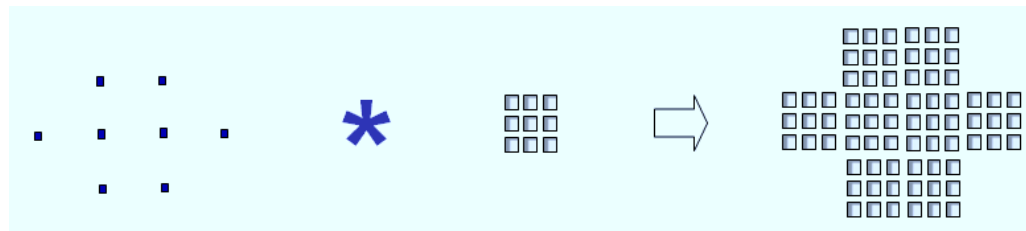
$$= \sum_{m=-\infty}^{\infty} f[n - m] g[m].$$

**Convolution**

**spectral (angular) domain**

$$G_t(\theta_t, \theta_{to}) G_r(\theta_r, \theta_{ro})$$

**Product**



**Improved angle  
resolution**

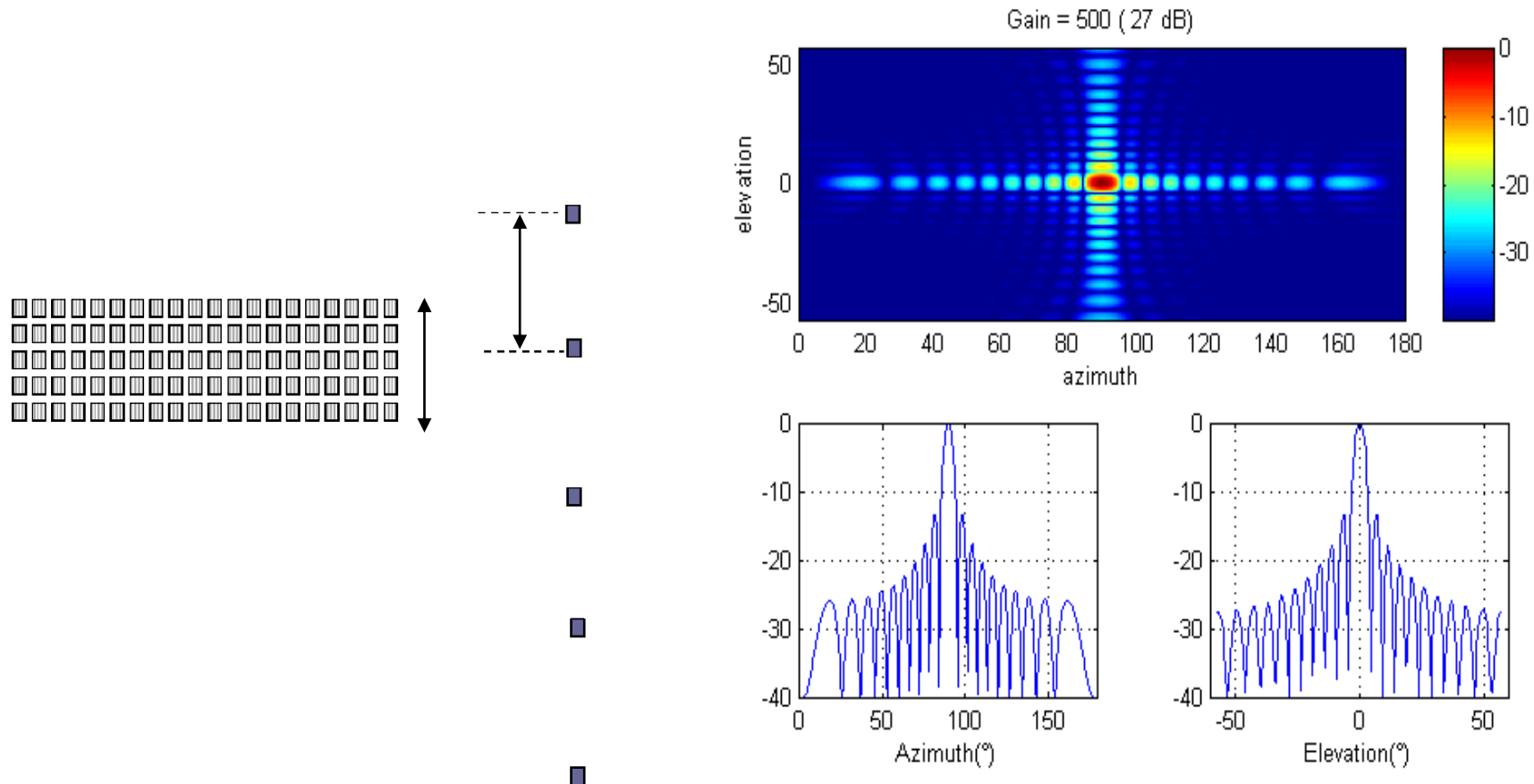


**Sidelobes are not  
those of the full  
physical aperture !**



## Example of MIMO « Tx & Rx » pattern

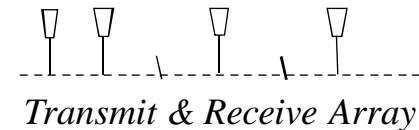
### Quasi-monostatic configuration



Conventional digital beam-forming, based on direct compensation of the geometric phase, at each antenna of the transmit and receive array.

## Information (diversity) vs. SNR

$\text{conv}([1 \ 1 \ 0 \ 1 \ 0 \ 1], [1 \ 1 \ 0 \ 1 \ 0 \ 1])$



= 1 2 1 2 2 2 3 0 2 0 1

Increased SNR

Virtual array sensor  
providing diversity

→ 1 1 1 1 1 1 1 0 1 0 1

*If we keep only the Tx/Rx pairs of different virtual positions, the SNR is reduced but the directivity can be enhanced.*

*However, the possibilities in 1D are rather limited...*

## A simple example in 2D



3,3% of transceivers only !



Virtual array

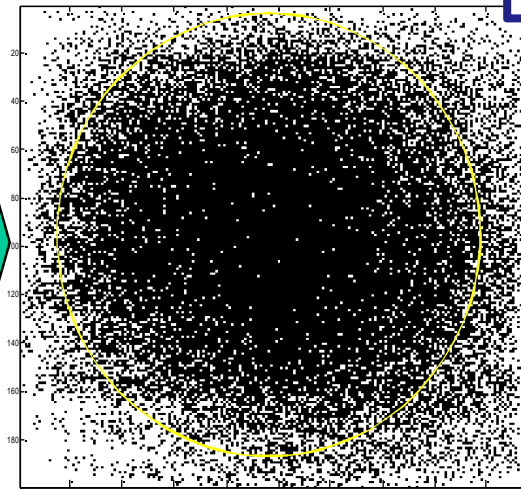
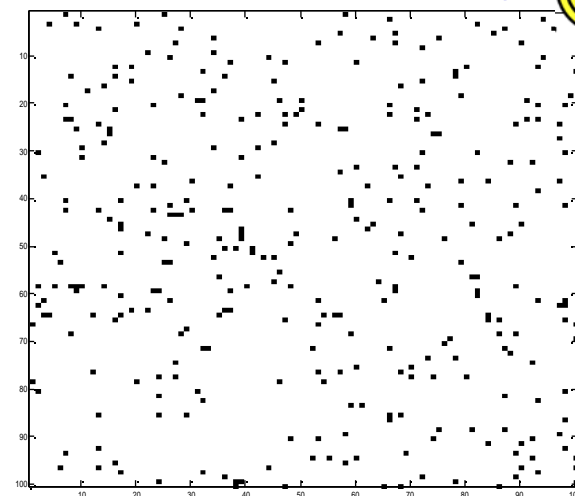
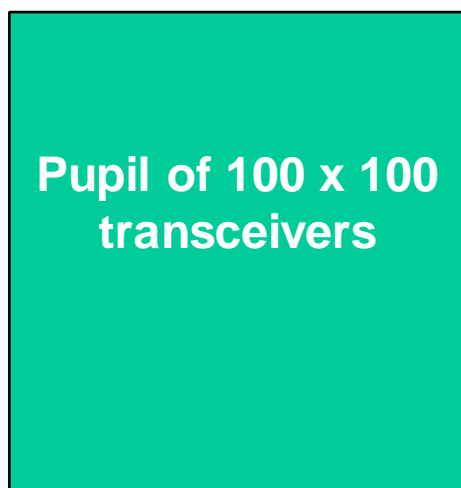
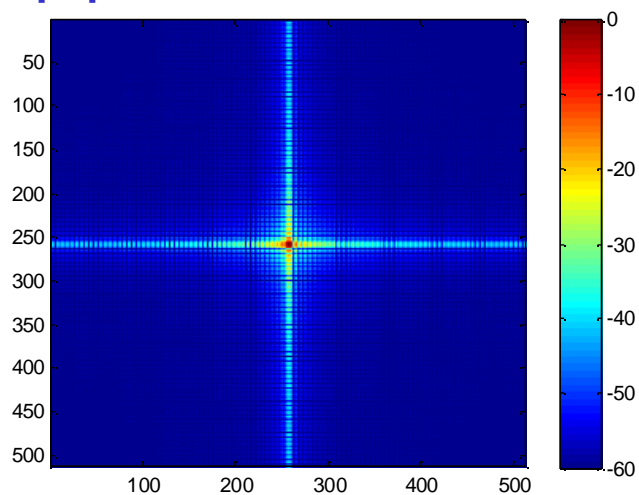


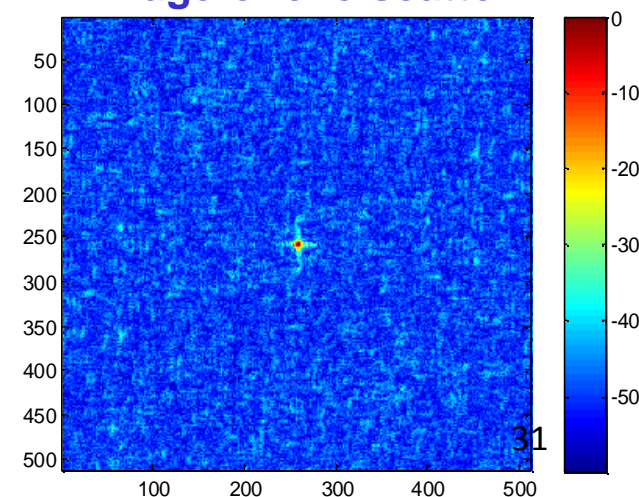
Image of one scatter  
pupil 100 x 100 transceivers



Sidelobes !



Image of one scatter



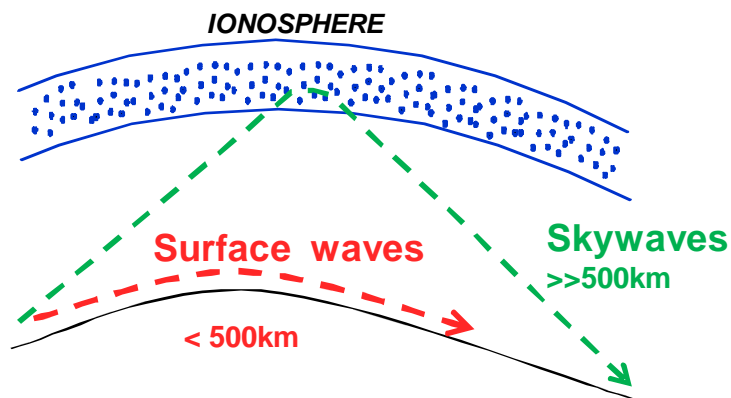
- 
1. Introduction and history of MIMO in radar
  2. Back to radar principles
  3. MIMO radar geometry and configuration
  4. Transmission : signals, power and patterns
  5. Receiving chain and processing
  - 6. Examples of application**
  7. Conclusion

## Example 1 : HF Surface Waves Radar (HFSWR)

### ROS - The French HFSWR

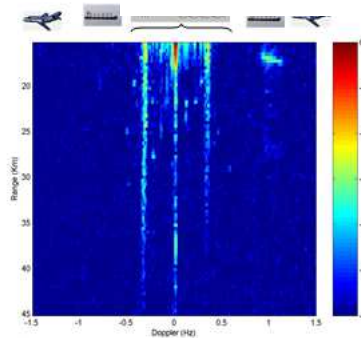
Maritime surveillance of atlantic ocean and mediterranean sea

#### Propagation (HF band)

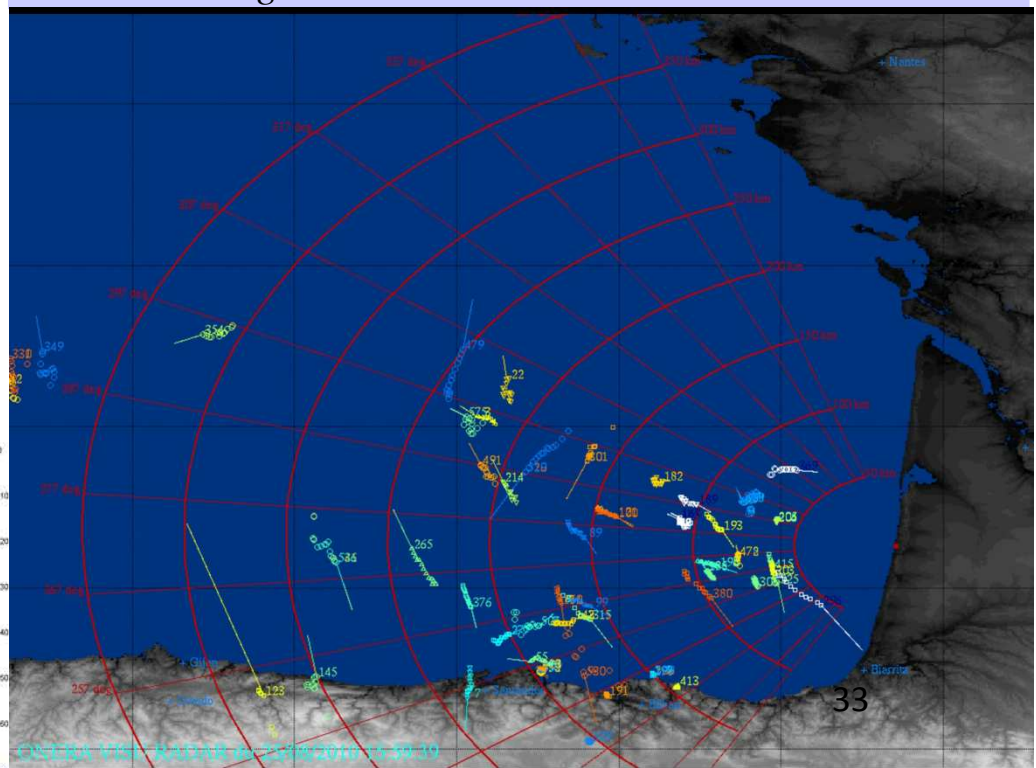


#### 3 main limitations

- Low Azimut resolution
- Range resolution
- Small boat vs clutter



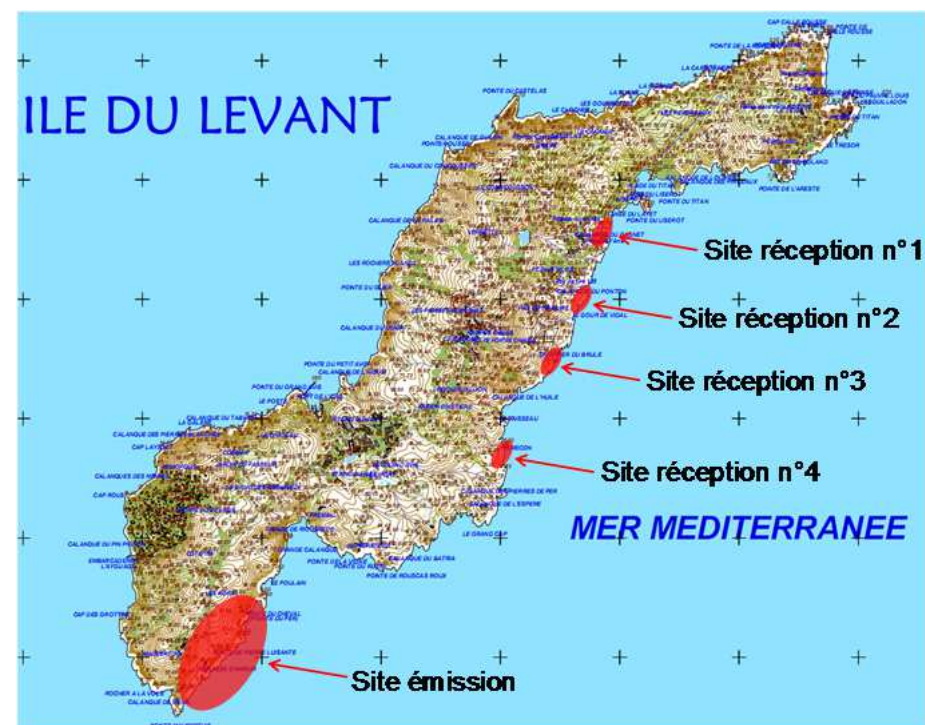
In HFSWR, targets reflects used the spreaded from detecting so clutter returns. This requires a very long CPI (~1 to 2 min)





## Example 1 : HFSWR based on MIMO technology

- MIMO virtual antenna of 2500m
- 1 Tx site (4 antennas)
- 4 Rx sites (8 antennas)
- Dual frequency mode (5 / 9MHz)
- Remote control from main land

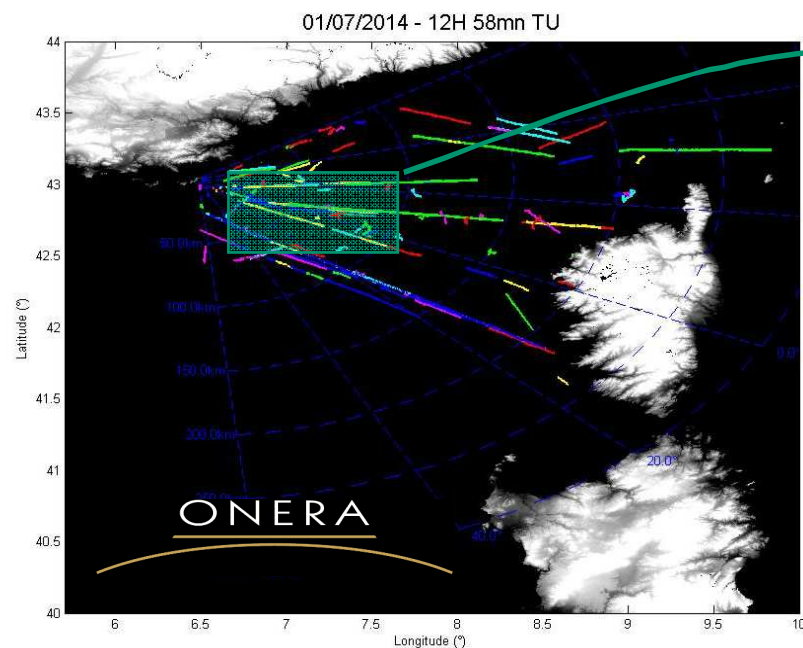


***F. Jangal , M. Menelle “French HFSWR contribution to the European integrated maritime surveillance system I2C”, IET radar conference, Hangzhou, October 2015.***

### MIMO Virtual array principle

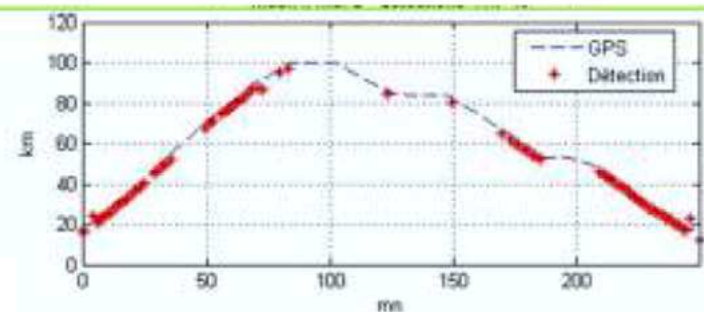


## Example 1 : HFSWR based on MIMO technology

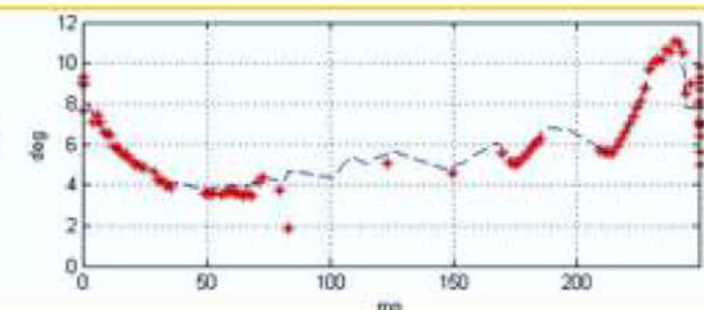


Range

5 MHz



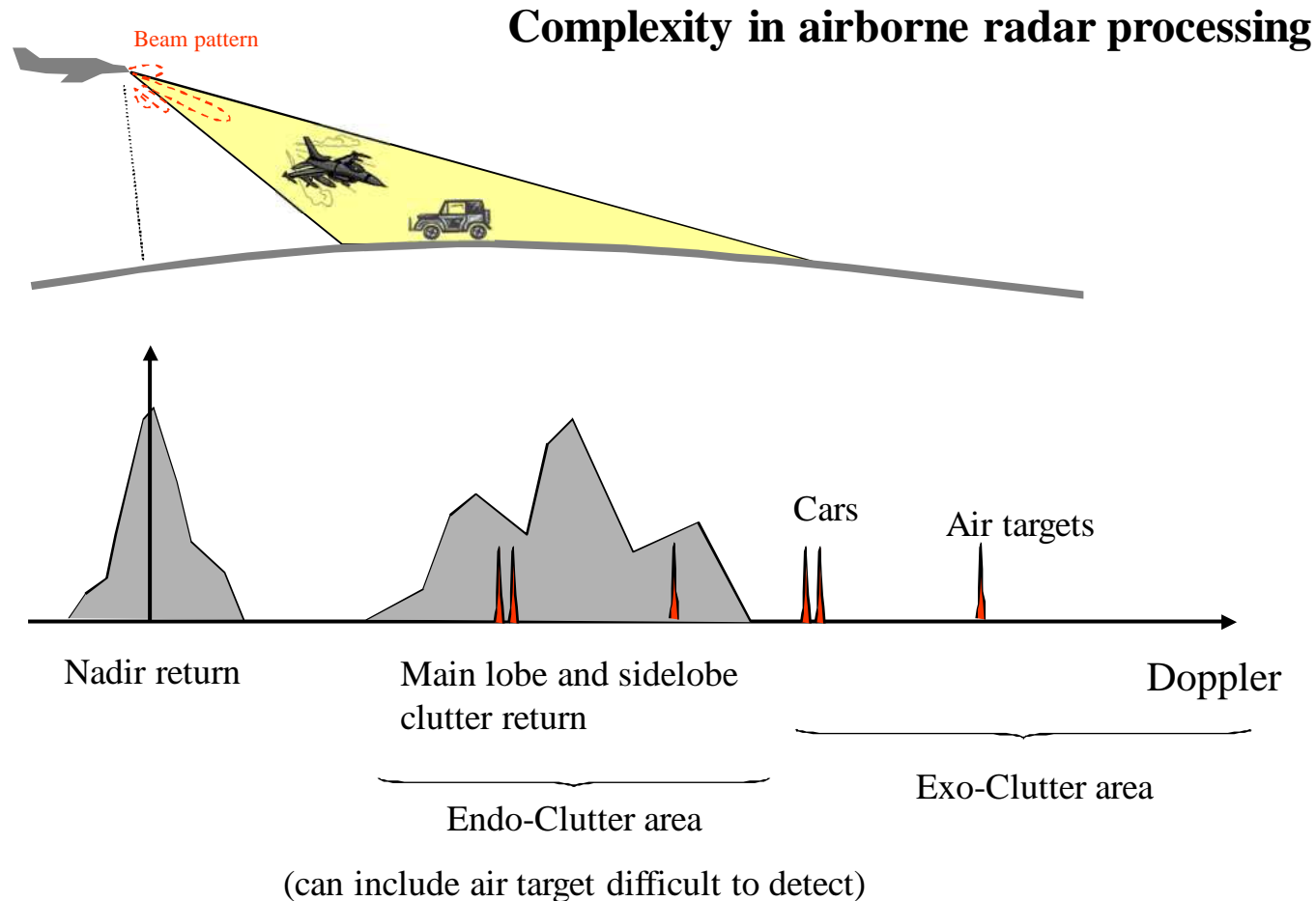
Azimuth



**F. Jangal , M. Menelle “French HFSWR contribution to the European integrated maritime surveillance system I2C”, IET radar conference, Hangzhou, October 2015**



## Example 2 : Ground Moving Target detection / STAP (Space Time Adaptive Processing)



**New processing schemes are considered to mitigate clutter contamination, both for air and ground targets : STAP (Space Time Adaptive Processing)**



## Example 2 : Ground Moving Target detection / STAP (Space Time Adaptive Processing)

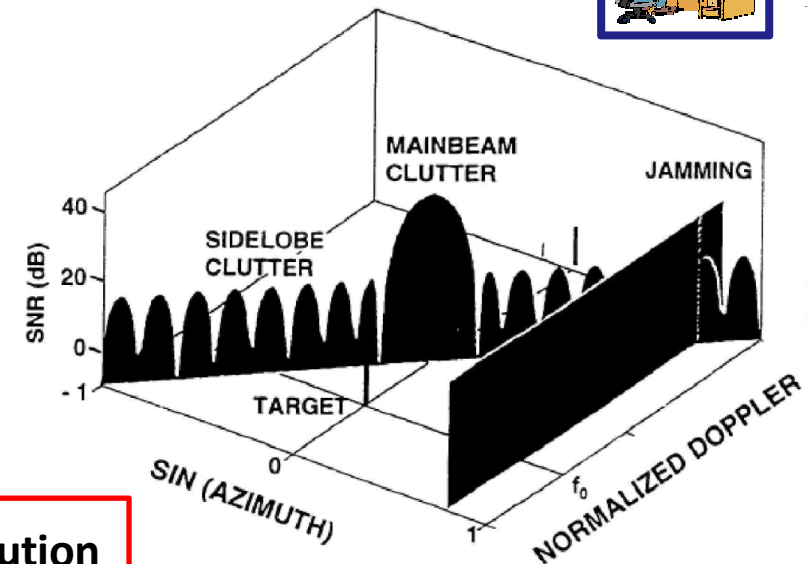


### What is STAP ?

A technique to form a 2-dimension notch filter (in angle-Doppler domain) to reject all stationary clutter echoes and improve the detection of moving targets

### STAP vs. Non-STAP GMTI

- **Gain of 2** on the Minimum Detectable Velocity (MDV)
- **Gain > 4** on the angle localisation error



Fine resolution in **velocity** requires a fine **angle** resolution

$$MDV \sim V_{\text{platform}} \cdot \lambda / (2L_{\text{array}})$$

*Europe*

**US - (JSTARS)**



**AMSAR**

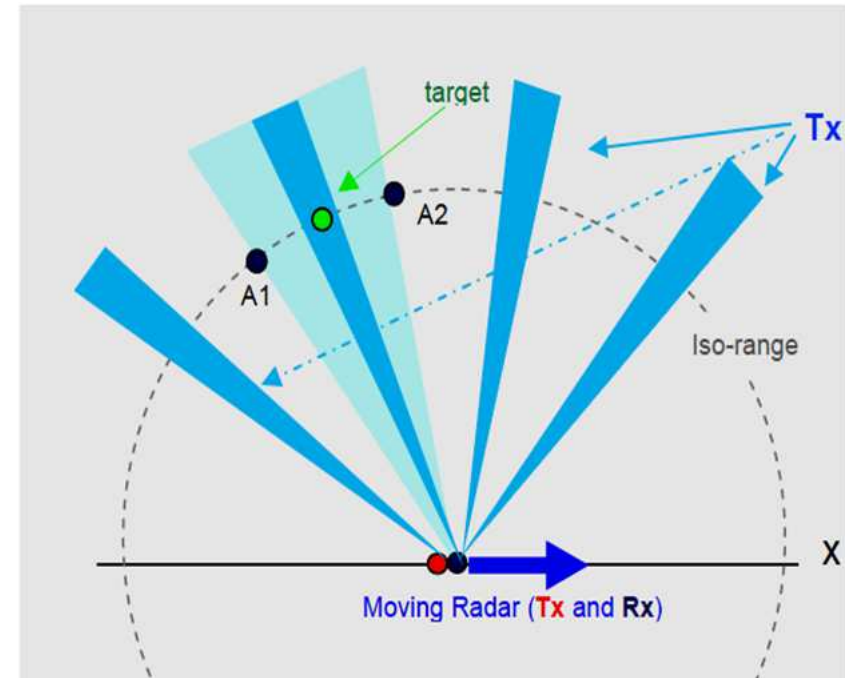
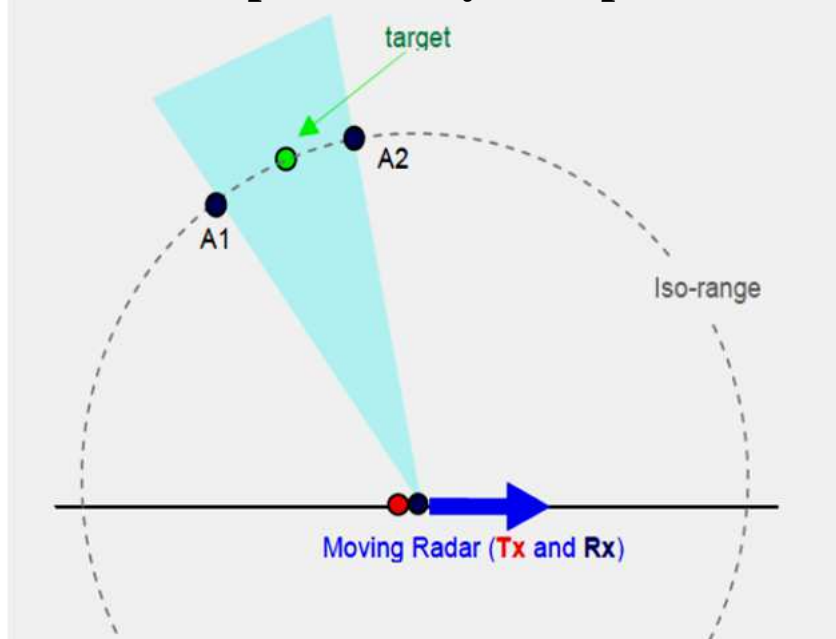


**SOSTAR-X**

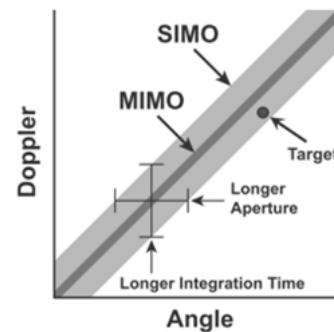


## Example 2 : MIMO – STAP – sparse & non sparse array (1)

Use of a sparse array to improve the MDV



Conventional  
1 Rx array (non sparse)  
 $MDV \sim V_{platform} \cdot \lambda / (2L_{array})$



MIMO - sparse  
1 Rx array (non sparse) + 1 Tx array (sparse)



This configuration can be helpful to improve the MDV of low frequency airborne radar

## Example 2 : MIMO – STAP – sparse & non sparse array (2)

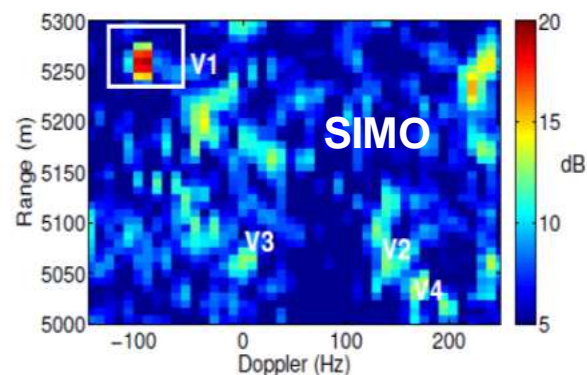


Fig. 5. SIMO detection results for four instrumented vehicles after adaptive processing of a single dwell.

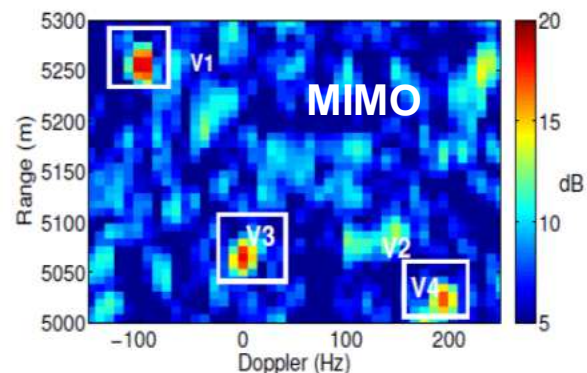
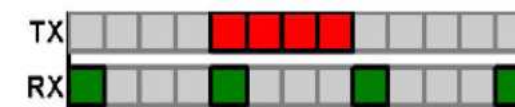
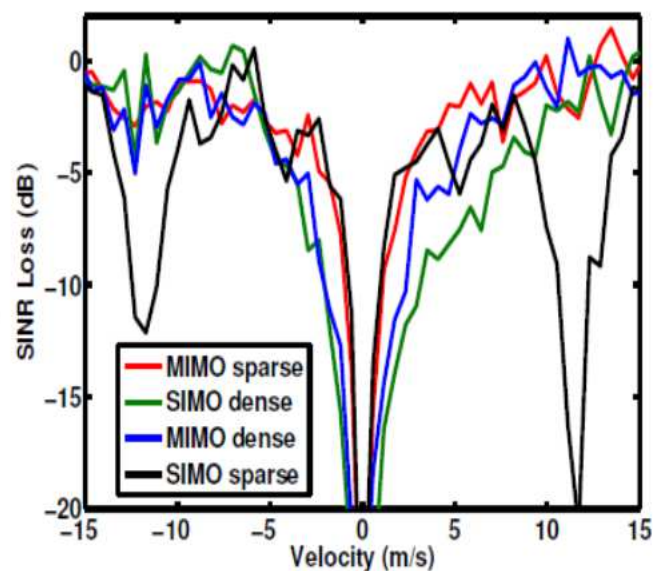
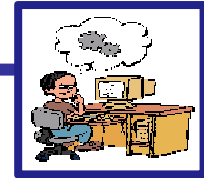


Fig. 6. DDMA MIMO detections for four instrumented vehicles after adaptive processing in a single dwell.



From J. Kantor and S. Davis, "Airborne GMTI using MIMO techniques," in *Radar Conference, 2010 IEEE*, May 2010, pp. 1344–1349.

## Example 3 : MIMO in future space borne SAR (1)

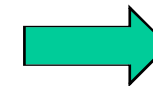


### State-of-the-art on spaceborne SAR imaging

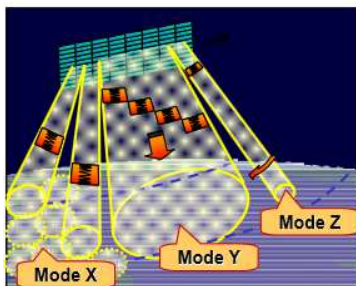


State of the Art (TerraSAR-X)	Imaging Mode (single pol)		
	ScanSAR	Stripmap	Spotlight
Resolution	<b>16 m</b>	<b>3 m</b>	<b>1 m</b>
Swath Width	<b>100 km</b>	<b>30 km</b>	<b>10 km</b>
Orbit Duty Cycle	<b>3 minutes per orbit</b>		

**Resolution** ↔ **Swath Width** ↔ **Repeat Cycle**



**Trade-off to overcome with MIMO ?**



Future Requirements	Imaging Mode (quad pol)		
	Mode X	Mode Y	Mode Z
Resolution	<b>5 m</b>	<b>1 m</b>	<b>&lt;&lt; 1 m</b>
Swath Width	<b>400 km</b>	<b>100 km</b>	<b>30 km</b>
Orbit Duty Cycle	<b>30 minutes per orbit</b>		

**From :**

### **Digital Beamforming and MIMO SAR: Review and New Concepts**

G. Krieger, M. Younis, S. Huber, F. Bordon, A. Patyuchenko, J. Kim, P. Laskowski,  
 M. Villano, T. Rommel, P. Lopez-Dekker, and A. Moreira  
 DLR, Microwaves and Radar Institute, Oberpfaffenhofen, Germany

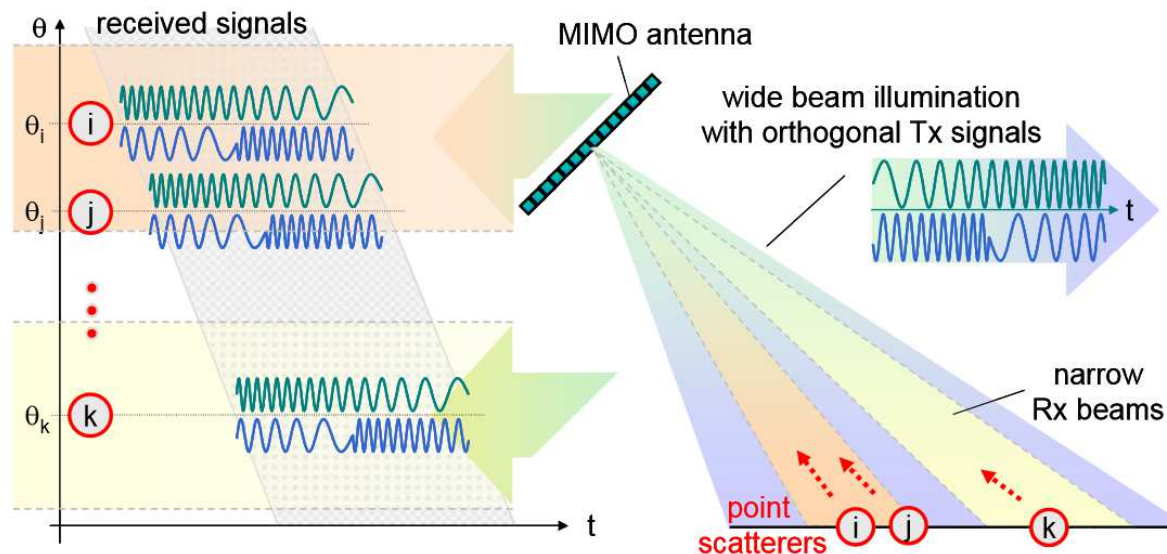


## Example 3 : MIMO in future space borne SAR (2)

*Issue on selection of orthorgonal waveform.*

*Non orthogonal waveform generates cross-correlation products / interference between the channels*

*Strong and diffuse scattering may affect the quality of the image in the region where the orthogonality is not perfect.*



*Two-transmitter diversity is used to extend the high resolution wide swath (HRWS)*

**From :**

### Digital Beamforming and MIMO SAR: Review and New Concepts

G. Krieger, M. Younis, S. Huber, F. Bordonì, A. Patyuchenko, J. Kim, P. Laskowski,  
 M. Villano, T. Rommel, P. Lopez-Dekker, and A. Moreira  
 DLR, Microwaves and Radar Institute, Oberpfaffenhofen, Germany

## Example 4 : millimeter-waves radar

A STAND-OFF  
35GHz BODY  
SCANNER

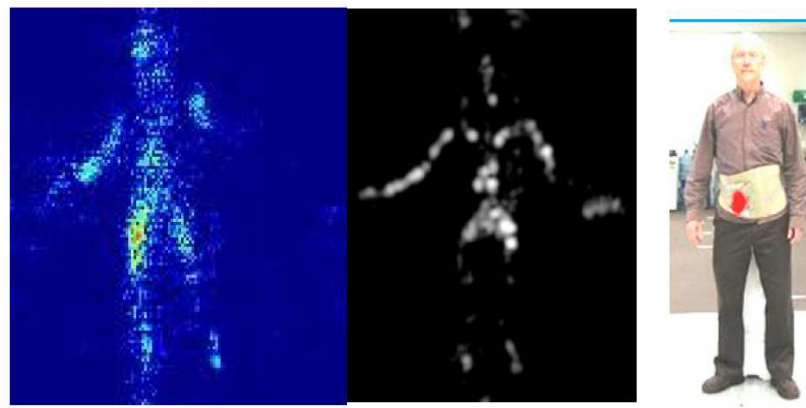


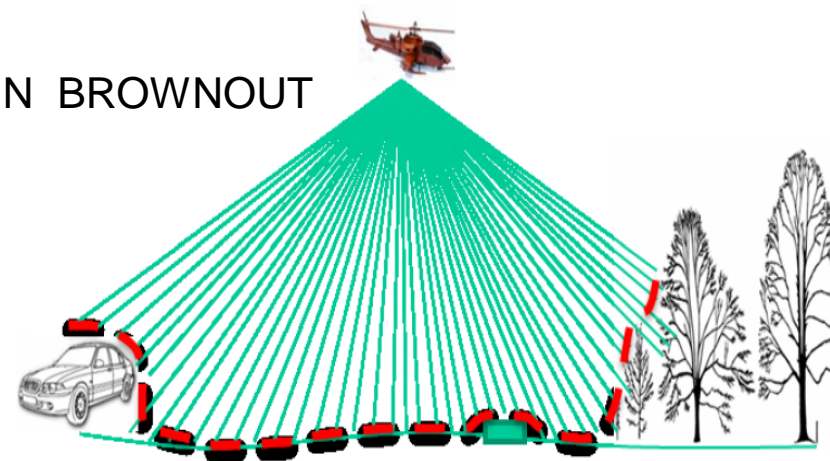
Figure 2. (a) A Raw body Image, (b) With four-look speckle reduction, (c) Cross polar returns from a hidden simulated target, superimposed on a visual image



A MIMO RADAR FOR LANDING HELICOPTERS IN BROWNOUT  
(multi-beam radar altimeter)

*T-shape array*

*TDMA, X (24 x 24) or Ka (32 x 32)*



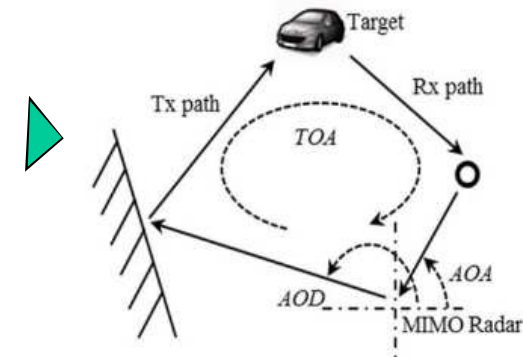
**From :** MIMO Radar Developments at Teledyne Australia , I. Dennis Longstaff ,  
Halappa Ashoka , Troy Kilpatrick \*, Radar 2013 Conference, Adelaide

## Other examples

### Urban environment

J Yu, J Krolik, "Simultaneous target and multipath positioning with MIMO radar", IET Radar 2012 conference

J Yu, J Krolik, " MIMO multipath clutter mitigation for GMTI automotive radar in urban environments, "IET Radar 2012 conference



A J Kirschner, J Guetlein, S Bertl, J Detlefsen, " A millimetre-wave MIMO radar system for threat detection in patrol or checkpoint scenarios", IET Radar 2012 conference

### Interferences mitigation

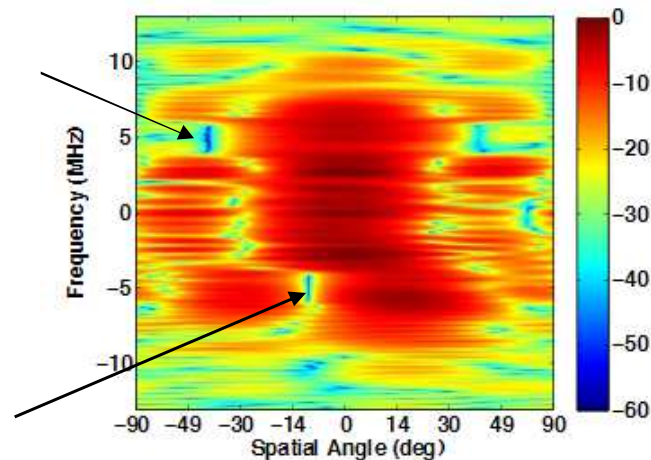
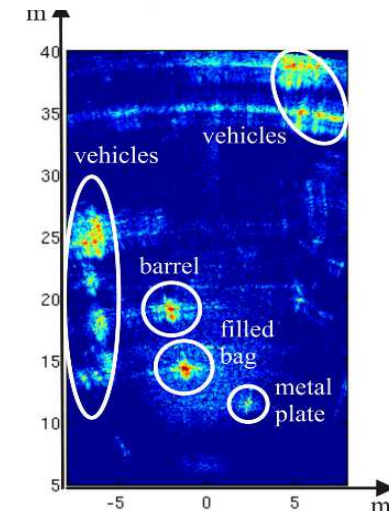


Figure 11: Normalized beam pattern power (in dB) of the chirp waveform with space-frequency nulls at  $-10^\circ$  and  $-5$  MHz,  $60^\circ$  and  $0$  MHz, and both  $-40^\circ$  and  $40^\circ$  at  $5$  MHz, four-channel experimental configuration.



T Higgins, T Webster, A K Shackelford, "Mitigating interference via spatial and spectral nulls", IET Radar 2012 conference

# Conclusion

Pros	Cons <b>E</b> : engineering issue <b>P</b> : physical limitation
<ol style="list-style-type: none"> <li>1. Resolution enhancement or</li> <li>2. Undersampling of array manifold antenna</li> <li>3. Access to new information (Doppler, permanent track while scan)</li> <li>4. Key enabler for low freq radar</li> </ol>	<ol style="list-style-type: none"> <li>1. Complexity : Waveform, processing <b>(E)</b></li> <li>2. SNR to compensate <b>(P+E)</b></li> <li>3. Diffuse clutter suppression <b>(P+E)</b></li> <li>4. Coherence of the target response <b>(P)</b></li> </ol>

## Potential applications

- Low frequency air surveillance radar (1,2,3,4 – -, -, -, -)
- Short range radar (-,2,-,- – -, -, -, -)
- Air defense radar in combination with conventional scanning (-, -,3,- – -,2,-,4)
- STAP at low frequency (1,2,-,4 – 1,-,3,-)
- Space borne SAR MIMO : very challenging (-, -,3,- – 1,-,3,-)