



Musical Languages and Formal Sciences

ICE Department seminar, June 2022

Bastien Sultan

bastien.sultan@telecom-paris.fr

June 2, 2022



Prolegomenon



Johann Sebastian Bach,
Anonym, 1725 (British
Museum)

Prolegomenon

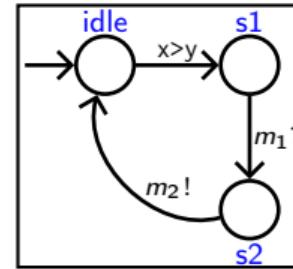


$$\zeta(s) = \sum_{n=1}^{+\infty} \frac{1}{n^s}$$

Prolegomenon



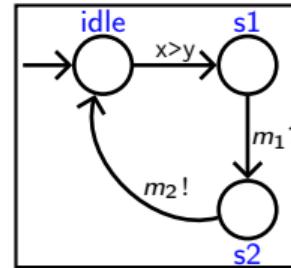
$$\zeta(s) = \sum_{n=1}^{+\infty} \frac{1}{n^s}$$



Prolegomenon



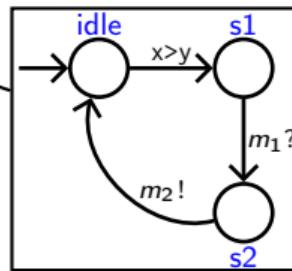
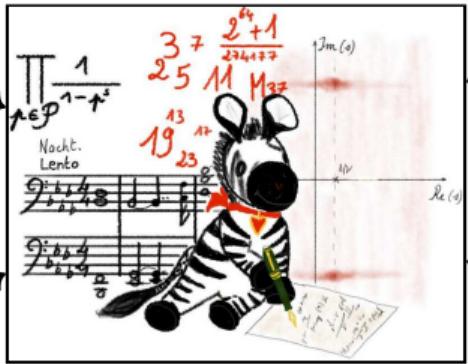
$$\zeta(s) = \sum_{n=1}^{+\infty} \frac{1}{n^s}$$



Prolegomenon



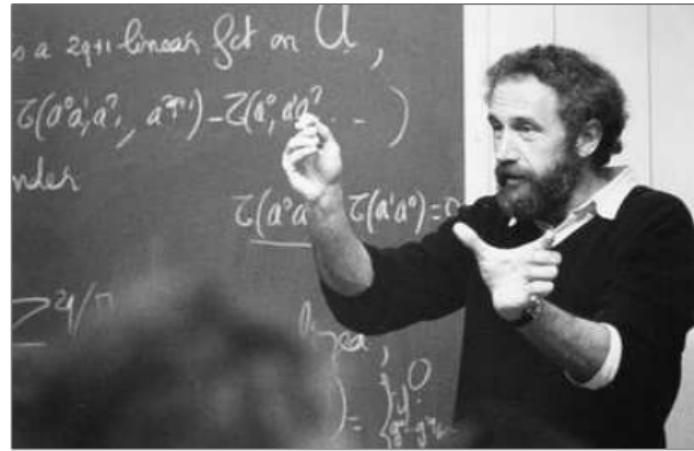
$$\zeta(s) = \sum_{n=1}^{+\infty} \frac{1}{n^s}$$



Prolegomenon

"Il m'est arrivé d'avoir un apport de l'extérieur par une oeuvre musicale pour un problème que je me posais, et que cet apport musical soit plus important que si j'avais lu un texte mathématique. Il m'est arrivé d'écouter des œuvres musicales [...] qui avaient un sens qui cadrait avec une espèce d'intuition que j'avais à un moment donné, mais que je ne pouvais pas traduire autrement, que je ne pouvais pas traduire par des mots : mais il y avait, par exemple, un prélude qui correspondait exactement à cette intuition. Et je ne savais pas pourquoi. Donc là, il y a quelque chose, à mon avis."

Alain Connes, June 2011 [3]



Alain Connes, photographed by Dirk Ferraus,
1989 (Oberwolfach Photo Collection)

Plan

Musical interpretation and fidelity to the score

The musical language: a formal language?

A set-theoretic approach

Serial music and *Pitch-Class Set Theory*

Compose with a dinosaur

And now?

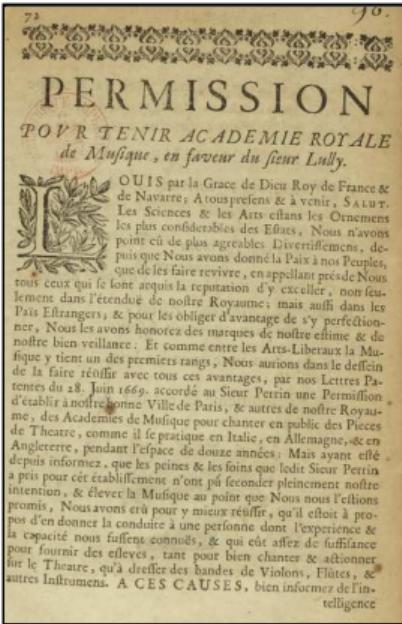
Bibliographie

A (very brief) history of musical interpretation [1]



The Beggar's Opera, Anonym, 1728 (MET)

A (very brief) history of musical interpretation [1]



Lettres patentes portant création de
l'Académie Royale de Musique, 1669 (BNF)



Jean-Baptiste Lully, Paul Mignard,
ca. 1650 (Musée Condé)

A (very brief) history of musical interpretation [2]



Maurice Ravel, 1925 (BNF)



Igor Stravinsky, photographed by
Robert Regassi, 1921

A (very brief) history of musical interpretation

“L’interprète est en réalité un exécutant, l’exécutant direct de la volonté du compositeur. Il n’apporte rien qui ne soit déjà dans l’œuvre. S’il a du talent, il laisse entrevoir la vérité de l’œuvre qui seule est géniale et se reflète en lui. Il ne doit pas dominer la musique mais se dissoudre en elle. [...] J’ai toujours été sûr, pour chaque œuvre, que c’était ainsi et pas autrement qu’il fallait la jouer. Et pourquoi ? C’est très simple ; parce que je regardais attentivement la partition.”

Sviatoslav Richter [4]



Sviatoslav Richter
(www.brunomonsaingeon.com)

A (very brief) history of musical interpretation

Pierre Boulez : “La chose écrite [...] ne transmet qu'une part de l'invention” [2]

Historically informed performance

- ▶ Period instruments
- ▶ Period tuning-fork (e.g., 415 Hz)
- ▶ Adjusted musical ensembles
- ▶ Interpretation style (e.g., ornaments)
- ▶ etc.



Jordi Savall, photographed by Yannick Coupannec, 2016 (France Culture)

Plan

Musical interpretation and fidelity to the score

The musical language: a formal language?

A set-theoretic approach

Serial music and *Pitch-Class Set Theory*

Compose with a dinosaur

And now?

Bibliographie

The written musical language

Two semantic levels

Music language \neq written musical language

The main musical parameters

- ▶ Pitch
- ▶ Duration
- ▶ Timbre
- ▶ Volume
- ▶ Articulation
- ▶ Spatialisation

The written musical language

Critic of pure written musical language

- ▶ Formal elements
- ▶ Non-formal elements



Immanuel Kant, Anonym, ca. 1790

The written musical language

Quartett N° 14.

Op. 131.

Nº 1. Adagio ma non troppo e molto espressivo.

Violino I.

Violino II.

Viola.

Violoncello.

The written musical language

Quartett N° 14.

Op. 131.

Nº 1. Adagio ma non troppo e molto espressivo.

Violino I.

Violino II.

Viola.

Violoncello.

The written musical language

Quartett N° 14.

N° 4. Adagio ma non troppo e molto espressivo.

Op. 131.

Violino I.

Violino II.

Viola.

Violoncello.

The written musical language

Quartett N° 14.

0p.131.

Nº 4. Adagio ma non troppo e molto espressivo.

Violino I.

Violino II.

Viola.

Violoncello.

The written musical language

Quartett N° 14.

Op. 131.

Nº 1. Adagio ma non troppo e molto espressivo.

Violino I.

Violino II.

Viola.

Violoncello.

The written musical language

Quartett N° 14.

Op. 131.

Nº 4. Adagio ma non troppo e molto espressivo.

Violino I.

Violino II.

Viola.

Violoncello.

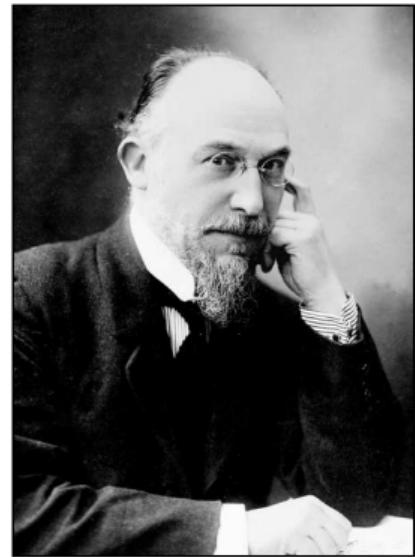
The written musical language

Vivache

He hums an old Peruvian air which he collected
Il chautonne un vieil air péruvien qu'il a recueilli

from a deaf-mute in Lower Brittany.
en Basse-Bretagne chez un sourd-muet.

The musical score consists of two staves of piano music. The top staff is in common time (indicated by '3') and the bottom staff is in common time (indicated by '2'). The music features various dynamics (e.g., *p*, *pp*, *p*) and rhythmic patterns. The lyrics are integrated into the musical lines, with some words highlighted in pink and blue boxes.



Érik Satie, photographed by Henri Manuel, ca. 1920

The written musical language: summary

Formally defined parameters

- ▶ Pitch
- ▶ Timbre
- ▶ Duration...

The written musical language: summary

Formally defined parameters

- ▶ Pitch
- ▶ Timbre
- ▶ Duration...

Non-formally defined parameters

- ▶ ... Duration !
- ▶ Volume
- ▶ Articulation

The written musical language: summary

Pitch

► Intervals

- Vertical component (harmony)
- Horizontal component (melody)
- Fundamental elements of the *modes*

The written musical language: summary

Pitch

- ▶ Intervals
 - ▶ Vertical component (harmony)
 - ▶ Horizontal component (melody)
 - ▶ Fundamental elements of the *modes*
- ▶ Musical language and modes
 - ▶ Tonal language (major scale, minor scale)
 - ▶ Modal language (Dorian mode, Messiaen's seven modes of limited transposition, etc.)
 - ▶ Atonal language

Plan

Musical interpretation and fidelity to the score

The musical language: a formal language?

A set-theoretic approach

Serial music and *Pitch-Class Set Theory*

Compose with a dinosaur

And now?

Bibliographie

Plan

Musical interpretation and fidelity to the score

The musical language: a formal language?

A set-theoretic approach

Serial music and *Pitch-Class Set Theory*

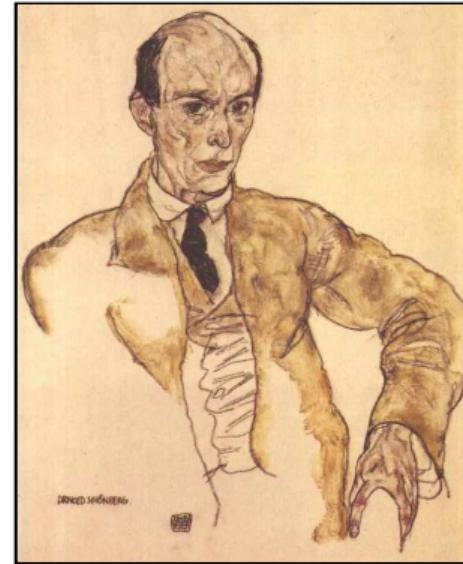
Compose with a dinosaur

And now?

Bibliographie

Serial music

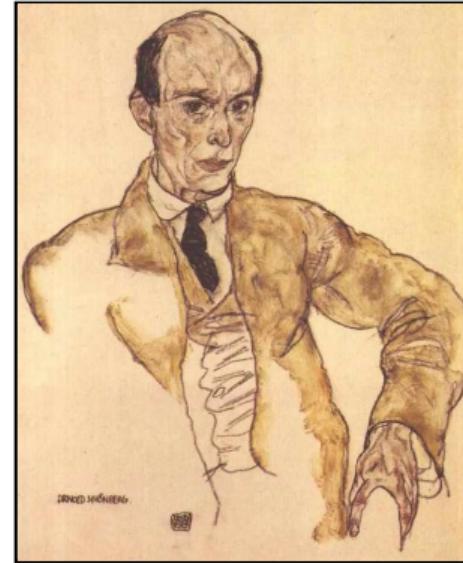
► Music



Arnold Schoenberg, Egon Schiele, 1917

Serial music

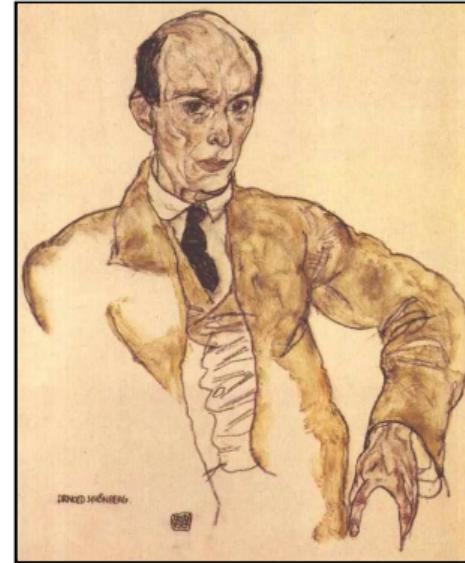
- ▶ Music
- ▶ Serial



Arnold Schoenberg, Egon Schiele, 1917

Serial music

- ▶ Music
- ▶ Serial
- ▶ Dodecaphonic



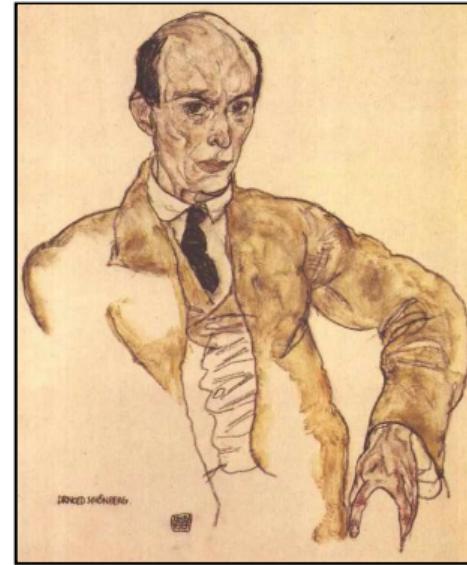
Arnold Schoenberg, Egon Schiele, 1917

Serial music

- ▶ Music
- ▶ Serial
- ▶ Dodecaphonic

Dodecaphonic serie

- ▶ Western music relies on twelve tones
- ▶ C, C \sharp =D \flat , D, D \sharp =M \flat , E, F, F \sharp =G \flat , G, G \sharp =A \flat , A, A \sharp =B \flat , B

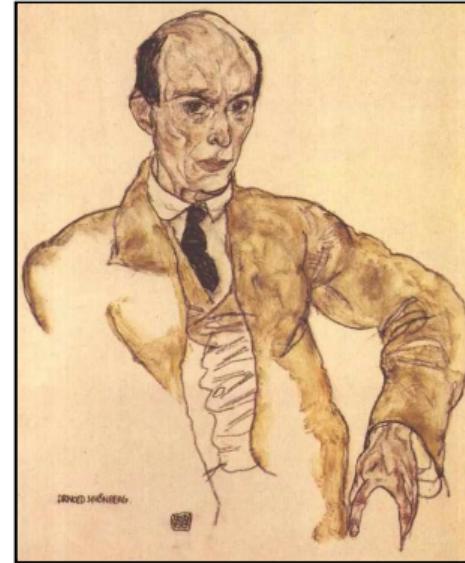


Arnold Schoenberg, Egon Schiele, 1917

Serial music

Core material: the serie

- ▶ Set of pitches \mathbb{H}

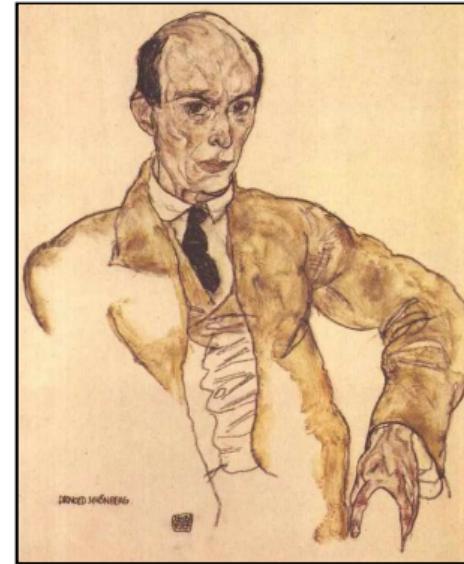


Arnold Schoenberg, Egon Schiele, 1917

Serial music

Core material: the serie

- ▶ Set of pitches \mathbb{H}
- ▶ Dodecaphonic scale $\mathbb{G} = \{C, C\#, B, B\#, E, F, F\#, G, G\#, A, A\#, B\}$

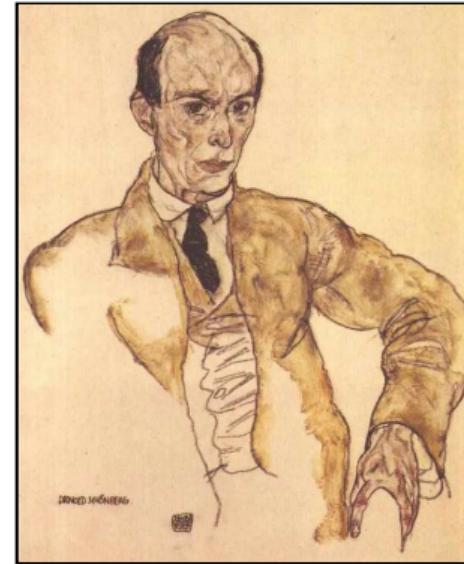


Arnold Schoenberg, Egon Schiele, 1917

Serial music

Core material: the serie

- ▶ Set of pitches \mathbb{H}
- ▶ Dodecaphonic scale $\mathbb{G} = \{C, C\#, B, B\#, E, F, F\#, G, G\#, A, A\#, B\}$
- ▶ $h : \mathbb{H} \rightarrow \mathbb{G}$

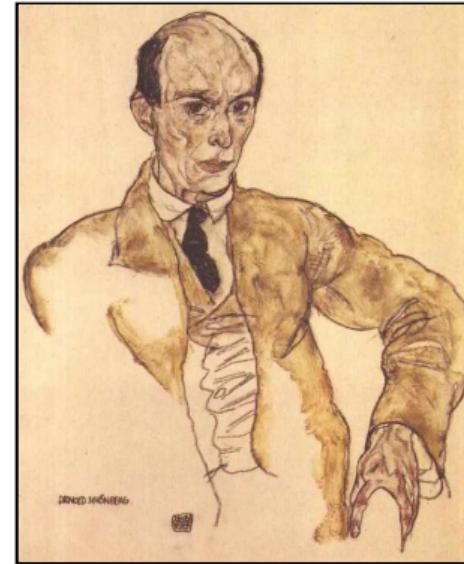


Arnold Schoenberg, Egon Schiele, 1917

Serial music

Core material: the serie

- ▶ Set of pitches \mathbb{H}
- ▶ Dodecaphonic scale $\mathbb{G} = \{C, C\#, B, B\#, E, F, F\#, G, G\#, A, A\#, B\}$
- ▶ $h : \mathbb{H} \rightarrow \mathbb{G}$
- ▶ $h_s : \mathbb{H}^p \rightarrow \mathbb{G}^p$
 $\langle n_1, \dots, n_p \rangle \mapsto \langle h(n_1), \dots, h(n_p) \rangle$

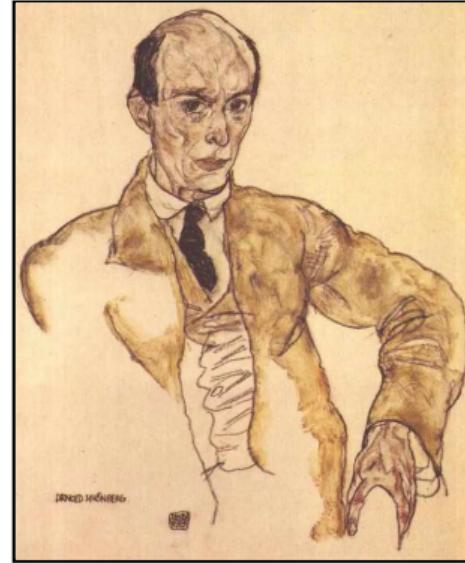


Arnold Schoenberg, Egon Schiele, 1917

Serial music

Core material: the serie

- ▶ Set of pitches \mathbb{H}
- ▶ Dodecaphonic scale $\mathbb{G} = \{C, C\#, B, B\#, E, F, F\#, G, G\#, A, A\#, B\}$
- ▶ $h : \mathbb{H} \rightarrow \mathbb{G}$
- ▶ $h_s : \mathbb{H}^p \rightarrow \mathbb{G}^p$
 $\langle n_1, \dots, n_p \rangle \mapsto \langle h(n_1), \dots, h(n_p) \rangle$
- ▶ $\mathcal{S} \in \mathbb{H}^{12}$ such that
 $h_s(\mathcal{S}) \in \{\sigma(\mathbb{G}) \mid \sigma \in \mathfrak{S}(\mathbb{G})\}$

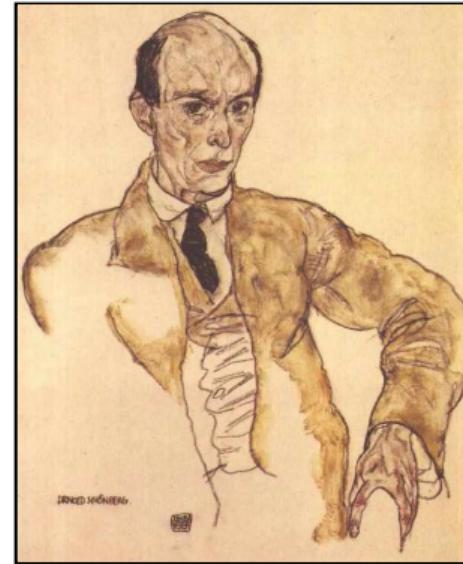


Arnold Schoenberg, Egon Schiele, 1917

Serial music

And now, serial transformations!

- ▶ $t(\mathcal{S})$
- ▶ retrograde
- ▶ inversion
- ▶ retrograde inversion
- ▶ transposition
- ▶ 48 distinct series



Arnold Schoenberg, Egon Schiele, 1917

Serial transformations (1/4)

Retrograde



Serial transformations (2/4)

Intervallic inversion

The image displays two staves of music in 4/4 time. The top staff contains the notes C, D, E, F#, G, A, B, C. The bottom staff contains the notes C, B, A, G, F#, E, D, C. An arrow points from the top staff to the bottom staff, indicating the transformation.

Serial transformations (3/4)

Retrograde inversion

The image shows two musical staves. The top staff is labeled "Retrograde inversion". It consists of four measures in common time (indicated by a '4' over a '4'). The notes are: quarter note, quarter note, eighth note followed by a sixteenth note, and eighth note followed by a sixteenth note. The bottom staff shows the result of the transformation: it also has four measures in common time. The notes are: eighth note followed by a sixteenth note, quarter note, eighth note followed by a sixteenth note, and eighth note followed by a sixteenth note. A vertical arrow points from the top staff to the bottom staff, indicating the transformation process.

Serial transformations (4/4)

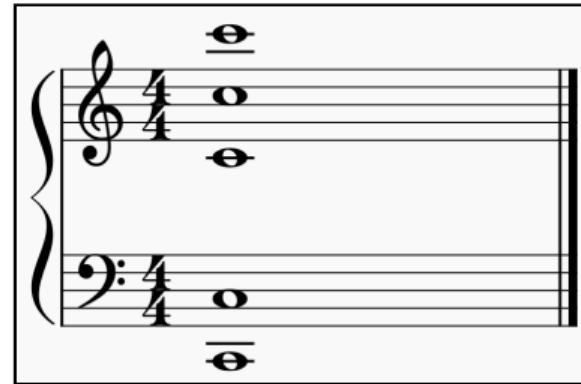
Transposition

The image shows a musical score with two staves. The top staff is in common time (4/4) and has a key signature of one sharp (F#). The bottom staff is also in common time (4/4) but has a key signature of one flat (B-flat). A vertical arrow points from the top staff to the bottom staff, indicating a key change.

Pitch-Class Set Theory [5]

Pitch-Class

The pitch-class of an element $e \in \mathbb{G}$ is the set of the notes whose pitch x is such that $h(x) = e$.



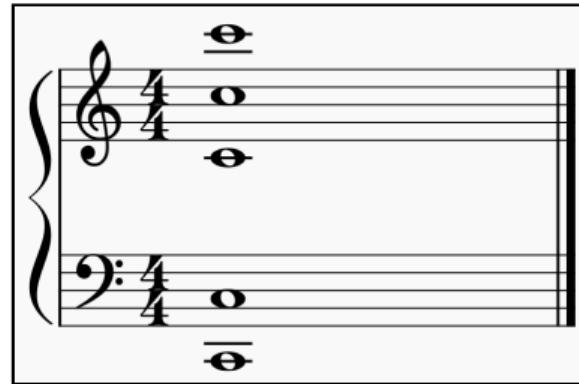
Pitch-Class Set Theory [5]

Pitch-Class

The pitch-class of an element $e \in \mathbb{G}$ is the set of the notes whose pitch x is such that $h(x) = e$.

Example

$$\overline{C} = \{\dots, C_2, C_3, C_4, C_5, C_6, \dots\}$$



Pitch-Class Set Theory [5]

Pitch-Class

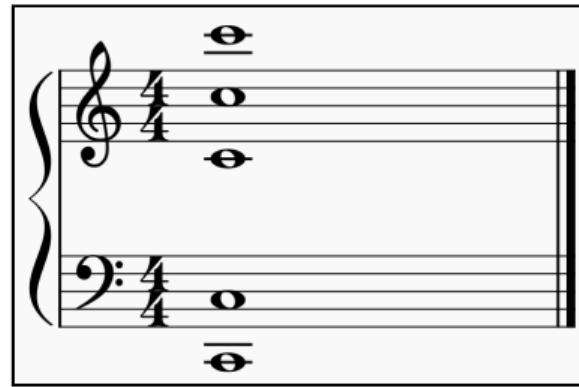
The pitch-class of an element $e \in \mathbb{G}$ is the set of the notes whose pitch x is such that $h(x) = e$.

Example

$$\overline{C} = \{\dots, C_2, C_3, C_4, C_5, C_6, \dots\}$$

Does that ring a bell?

$$ECH \approx \mathbb{Z}/12\mathbb{Z}$$



Pitch-Class Set Theory [5]

Allen Forte Equivalence

- ▶ $\overline{C} \mapsto 0, \overline{C\sharp} \mapsto 1, \overline{D} \mapsto 2, \overline{D\sharp} \mapsto 3, \overline{E} \mapsto 4, \overline{F} \mapsto 5, \overline{F\sharp} \mapsto 6, \overline{G} \mapsto 7,$
 $\overline{G\sharp} \mapsto 8, \overline{A} \mapsto 9, \overline{A\sharp} \mapsto 10, \overline{B} \mapsto 11$

Pitch-Class Set Theory [5]

Allen Forte Equivalence

- ▶ $\overline{C} \mapsto 0$, $\overline{C\sharp} \mapsto 1$, $\overline{D} \mapsto 2$, $\overline{D\sharp} \mapsto 3$, $\overline{E} \mapsto 4$, $\overline{F} \mapsto 5$, $\overline{F\sharp} \mapsto 6$, $\overline{G} \mapsto 7$,
 $\overline{G\sharp} \mapsto 8$, $\overline{A} \mapsto 9$, $\overline{A\sharp} \mapsto 10$, $\overline{B} \mapsto 11$
- ▶ Each note is depicted by the integer coding its pitch-class

Pitch-Class Set Theory [5]

Allen Forte Equivalence

- ▶ $\overline{C} \mapsto 0$, $\overline{C\sharp} \mapsto 1$, $\overline{D} \mapsto 2$, $\overline{D\sharp} \mapsto 3$, $\overline{E} \mapsto 4$, $\overline{F} \mapsto 5$, $\overline{F\sharp} \mapsto 6$, $\overline{G} \mapsto 7$,
 $\overline{G\sharp} \mapsto 8$, $\overline{A} \mapsto 9$, $\overline{A\sharp} \mapsto 10$, $\overline{B} \mapsto 11$
- ▶ Each note is depicted by the integer coding its pitch-class

Example

A musical staff in 4/4 time signature. It contains ten notes: a quarter note at pitch 5, a quarter note at pitch 2, a quarter note at pitch 9, a half note at pitch 1, a half note at pitch 4, a half note at pitch 7, a quarter note at pitch 3, a quarter note at pitch 10, a quarter note at pitch 6, and a half note at pitch 0. The staff ends with a double bar line.

$\langle 5, 2, 9, 1, 4, 7, 3, 10, 6, 0 \rangle$

Pitch-Class Set Theory [5]

Then, we study the pitch-class “sets”

- ▶ Actually, sets are often n -uples

Pitch-Class Set Theory [5]

Then, we study the pitch-class “sets”

- ▶ Actually, sets are often n -uples
- ▶ Pitch-class sets enable for modeling a set of notes, horizontally (melodic lines) or vertically (chords)

Pitch-Class Set Theory [5]

Then, we study the pitch-class “sets”

- ▶ Actually, sets are often n -uples
- ▶ Pitch-class sets enable for modeling a set of notes, horizontally (melodic lines) or vertically (chords)
- ▶ We can carry out transformations on these sets

Pitch-Class Set Theory [5]

Then, we study the pitch-class “sets”

- ▶ Actually, sets are often n -uples
- ▶ Pitch-class sets enable for modeling a set of notes, horizontally (melodic lines) or vertically (chords)
- ▶ We can carry out transformations on these sets
- ▶ But also derive other objects, such as *intervallic vectors*
 - ▶ These vectors are useful for analyzing the intervallic structure of a pitch-class set

Pitch-Class Set Theory [5] and serial transformations

Let \mathcal{S} be a serie. We denote with \mathcal{S}_h its pitch-class 12-uple. We can easily define the transformations of \mathcal{S}_h related to the serial transformations of \mathcal{S} .

Pitch-Class Set Theory [5] and serial transformations

Let \mathcal{S} be a serie. We denote with \mathcal{S}_h its pitch-class 12-uple. We can easily define the transformations of \mathcal{S}_h related to the serial transformations of \mathcal{S} .

- ▶ n semi-tone transposition. $\mathcal{S}_{h_i} \xrightarrow{t} \mathcal{S}_{h_i} + n \bmod 12$

Pitch-Class Set Theory [5] and serial transformations

Let \mathcal{S} be a serie. We denote with \mathcal{S}_h its pitch-class 12-uple. We can easily define the transformations of \mathcal{S}_h related to the serial transformations of \mathcal{S} .

- ▶ n semi-tone transposition. $\mathcal{S}_{h_i} \xrightarrow{t} \mathcal{S}_{h_i} + n \bmod 12$
- ▶ Intervallic inversion. $\mathcal{S}_{h_i} \xrightarrow{\text{inv}} 2 \times \mathcal{S}_{h_1} - \mathcal{S}_{h_i} \bmod 12$

Pitch-Class Set Theory [5] and serial transformations

Let \mathcal{S} be a serie. We denote with \mathcal{S}_h its pitch-class 12-uple. We can easily define the transformations of \mathcal{S}_h related to the serial transformations of \mathcal{S} .

- ▶ n semi-tone transposition. $\mathcal{S}_{h_i} \xrightarrow{t} \mathcal{S}_{h_i + n \text{ mod } 12}$
- ▶ Intervallic inversion. $\mathcal{S}_{h_i} \xrightarrow{\text{inv}} 2 \times \mathcal{S}_{h_1} - \mathcal{S}_{h_i} \text{ mod } 12$
- ▶ Retrograde. $\mathcal{S}_{h_i} \xrightarrow{r} \mathcal{S}_{h_{13-i}}$

Pitch-Class Set Theory [5] and serial transformations

Let \mathcal{S} be a serie. We denote with \mathcal{S}_h its pitch-class 12-uple. We can easily define the transformations of \mathcal{S}_h related to the serial transformations of \mathcal{S} .

- ▶ n semi-tone transposition. $\mathcal{S}_{h_i} \xrightarrow{t} \mathcal{S}_{h_i + n \text{ mod } 12}$
- ▶ Intervallic inversion. $\mathcal{S}_{h_i} \xrightarrow{\text{inv}} 2 \times \mathcal{S}_{h_1} - \mathcal{S}_{h_i} \text{ mod } 12$
- ▶ Retrograde. $\mathcal{S}_{h_i} \xrightarrow{r} \mathcal{S}_{h_{13-i}}$
- ▶ Retrograde inversion. $\text{inv} \circ r$

Plan

Musical interpretation and fidelity to the score

The musical language: a formal language?

A set-theoretic approach

Serial music and *Pitch-Class Set Theory*

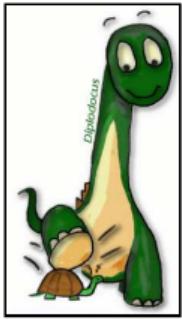
Compose with a dinosaur

And now?

Bibliographie

Compose with a dinosaur

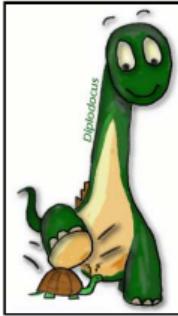
Methodology



TTool, Ludovic Aprville

Compose with a dinosaur

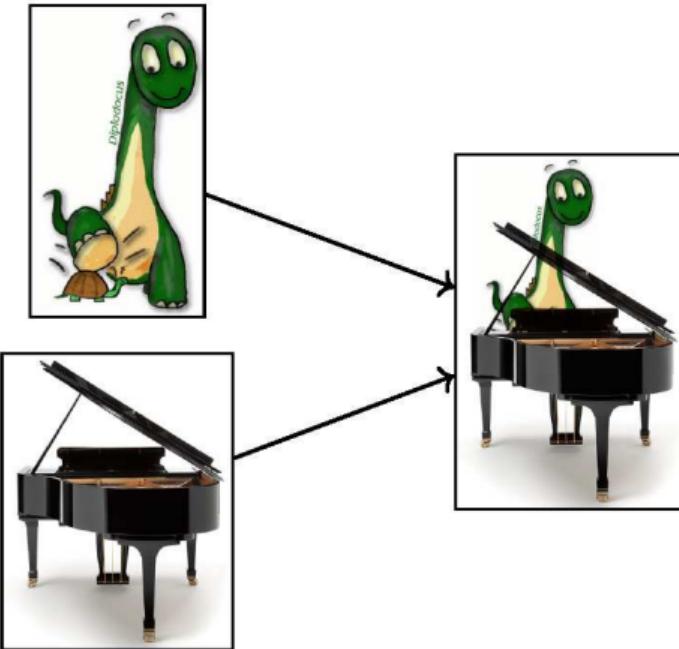
Methodology



Piano Fazioli (www.pianoshanlet.fr)

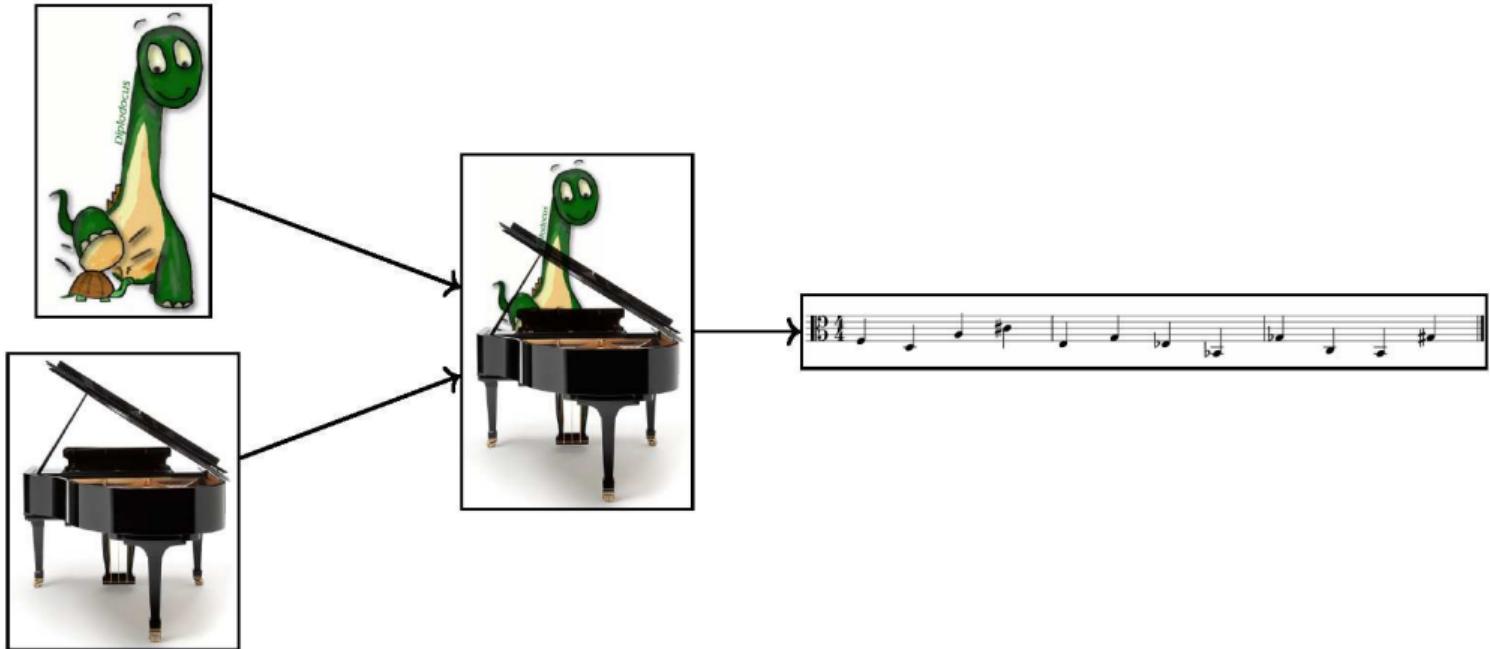
Compose with a dinosaur

Methodology

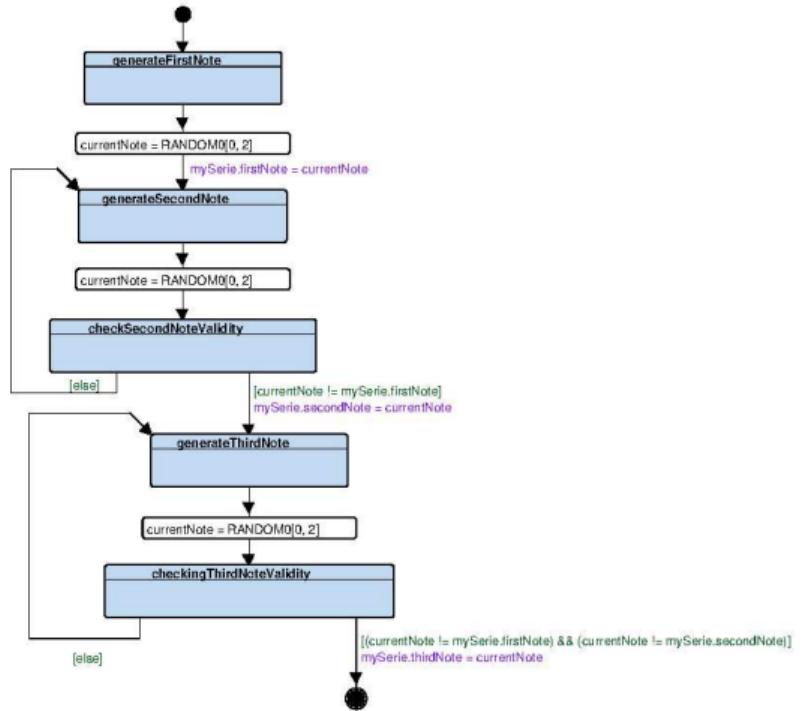
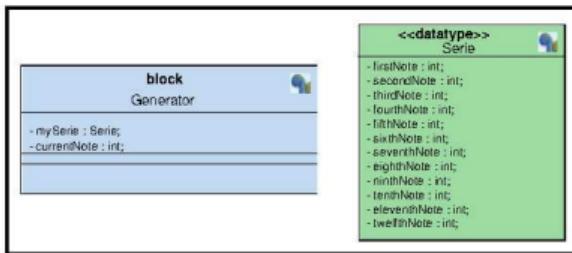


Compose with a dinosaur

Methodology



Compose with a dinosaur



Compose with a dinosaur

Essais Dodécaphoniques

Une œuvre sérielle générée par un dinosaure

TTool, et un peu Bastien aussi

Al tempo di un diplodocus

The musical score consists of two staves of twelve-tone music. The top staff is in treble clef and the bottom staff is in bass clef. Both staves are in common time. The music is composed of eighth and sixteenth notes. The first staff begins with a treble clef, a 4/4 time signature, and a key signature of one sharp. The second staff begins with a bass clef, a 4/4 time signature, and a key signature of one flat. The music is divided into measures by vertical bar lines.

Plan

Musical interpretation and fidelity to the score

The musical language: a formal language?

A set-theoretic approach

Serial music and *Pitch-Class Set Theory*

Compose with a dinosaur

And now?

Bibliographie

Research directions

Current approaches can be improved

- ▶ Reasoning about pitch classes is interesting...

Research directions

Current approaches can be improved

- ▶ Reasoning about pitch classes is interesting...
- ▶ ... but causes trivially a loss of information

Research directions

Current approaches can be improved

- ▶ Reasoning about pitch classes is interesting...
- ▶ ... but causes trivially a loss of information
- ▶ It could be interesting to consider jointly the three formal aspects of a note
 $n = \langle h, d, t \rangle$

Research directions

Current approaches can be improved

- ▶ Reasoning about pitch classes is interesting...
- ▶ ... but causes trivially a loss of information
- ▶ It could be interesting to consider jointly the three formal aspects of a note
 $n = \langle h, d, t \rangle$
- ▶ What about silence ?

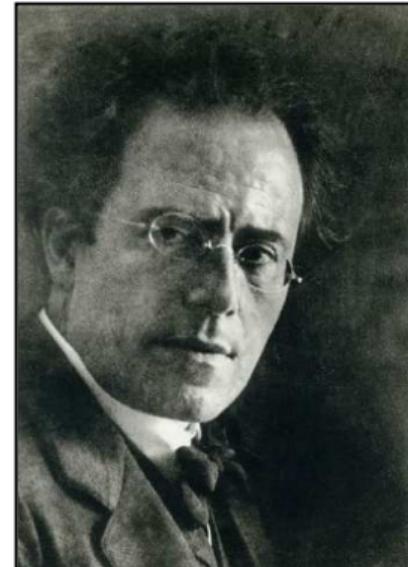
Research directions

Current approaches can be improved

- ▶ Reasoning about pitch classes is interesting...
- ▶ ... but causes trivially a loss of information
- ▶ It could be interesting to consider jointly the three formal aspects of a note
 $n = \langle h, d, t \rangle$
- ▶ What about silence ?
- ▶ Evolution of musical languages

Modern music and modern mathematics

- ▶ 1908 : Zermelo set theory
- ▶ 1909-1910 : Mahler's 9th Symphony
- ▶ 1921 : Schoenberg's first serial work
- ▶ 1922 : ZF
- ▶ 1935 : Bourbaki
- ▶ 1949 : Darmstadt Summer Course, Messiaen creates *Mode de valeurs et d'intensité*



Gustav Mahler, photographed by Friedrich Victor Spitzer, 1905

Plan

Musical interpretation and fidelity to the score

The musical language: a formal language?

A set-theoretic approach

Serial music and *Pitch-Class Set Theory*

Compose with a dinosaur

And now?

Bibliographie

Bibliographie I

- [1] Louis Allix.
L'authenticité comme norme de l'interprétation musicale.
Savoirs en prisme, (02):173–198, 2013.
- [2] Pierre Boulez.
Le concept d'écriture (I). Cours au Collège de France, 1990-1991, 1990.
- [3] Pierre Boulez et Alain Connes.
La créativité en musique et en mathématiques. Conférence IRCAM, 15 juin 2011.
https://medias.ircam.fr/x70ce3e_pierre-boulez-et-alain-connes-la-creativ, 06 2011.

Bibliographie II

- [4] Sviatoslav Richter et Bruno Monsaingeon.
Ecrits, conversations.
Arte editions. Van de Velde, 1998.
- [5] Allen Forte.
The structure of atonal music, volume 304.
Yale University Press, 1973.